

The Isabelle/HOL Algebra Library

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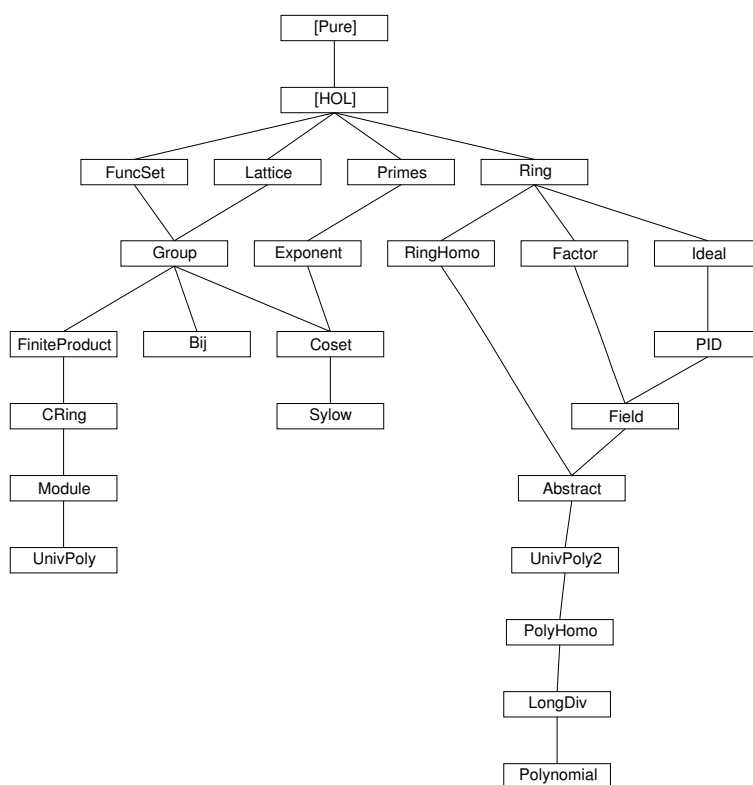
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1 Lattice: Orders and Lattices

theory *Lattice* **imports** *Main* **begin**

Object with a carrier set.

record *'a partial-object* =
 carrier :: *'a set*

1.1 Partial Orders

record *'a order* = *'a partial-object* +
 le :: [*'a*, *'a*] ==> *bool* (**infixl** \sqsubseteq 50)

locale *partial-order* = *struct* *L* +
 assumes *refl* [*intro*, *simp*]:
 $x \in \text{carrier } L \implies x \sqsubseteq x$
 and *anti-sym* [*intro*]:
 $[x \sqsubseteq y; y \sqsubseteq x; x \in \text{carrier } L; y \in \text{carrier } L] \implies x = y$
 and *trans* [*trans*]:
 $[x \sqsubseteq y; y \sqsubseteq z; x \in \text{carrier } L; y \in \text{carrier } L; z \in \text{carrier } L] \implies x \sqsubseteq z$

constdefs (**structure** *L*)
 less :: [*'a*, *'a*] ==> *bool* (**infixl** \sqsubset 50)
 $x \sqsubset y \iff x \sqsubseteq y \ \& \ x \neq y$

— Upper and lower bounds of a set.

Upper :: [*'a set*] ==> *'a set*
Upper *L* *A* == {*u*. (*ALL* *x*. $x \in A \cap \text{carrier } L \implies x \sqsubseteq u$)} \cap
 carrier L

Lower :: [*'a set*] ==> *'a set*
Lower *L* *A* == {*l*. (*ALL* *x*. $x \in A \cap \text{carrier } L \implies l \sqsubseteq x$)} \cap
 carrier L

— Least and greatest, as predicate.

least :: [*'a*, *'a set*] ==> *bool*
least *L* *l* *A* == $A \subseteq \text{carrier } L \ \& \ l \in A \ \& \ (\text{ALL } x : A. l \sqsubseteq x)$

greatest :: [*'a*, *'a set*] ==> *bool*
greatest *L* *g* *A* == $A \subseteq \text{carrier } L \ \& \ g \in A \ \& \ (\text{ALL } x : A. x \sqsubseteq g)$

— Supremum and infimum

sup :: [*'a set*] ==> *'a* (\bigsqcup_{1-} [90] 90)
 $\bigsqcup A == \text{THE } x. \text{least } L \ x \ (\text{Upper } L \ A)$

inf :: [*'a set*] ==> *'a* (\bigsqcap_{1-} [90] 90)
 $\bigsqcap A == \text{THE } x. \text{greatest } L \ x \ (\text{Lower } L \ A)$

join :: [*'a*, *'a*] ==> *'a* (**infixl** \sqcup 65)

$$x \sqcup y == \sup L \{x, y\}$$

$$\begin{aligned} \text{meet} &:: [-, 'a, 'a] ==> 'a \text{ (infixl } \sqcap 70) \\ x \sqcap y &== \inf L \{x, y\} \end{aligned}$$

1.1.1 Upper

lemma *Upper-closed* [intro, simp]:

$$\begin{aligned} &Upper\ L\ A \subseteq carrier\ L \\ &\langle proof \rangle \end{aligned}$$

lemma *UpperD* [dest]:

$$\begin{aligned} &\text{includes struct } L \\ &\text{shows } [| u \in Upper\ L\ A; x \in A; A \subseteq carrier\ L |] ==> x \sqsubseteq u \\ &\langle proof \rangle \end{aligned}$$

lemma *Upper-memI*:

$$\begin{aligned} &\text{includes struct } L \\ &\text{shows } [| !! y. y \in A ==> y \sqsubseteq x; x \in carrier\ L |] ==> x \in Upper\ L\ A \\ &\langle proof \rangle \end{aligned}$$

lemma *Upper-antimono*:

$$\begin{aligned} &A \subseteq B ==> Upper\ L\ B \subseteq Upper\ L\ A \\ &\langle proof \rangle \end{aligned}$$

1.1.2 Lower

lemma *Lower-closed* [intro, simp]:

$$\begin{aligned} &Lower\ L\ A \subseteq carrier\ L \\ &\langle proof \rangle \end{aligned}$$

lemma *LowerD* [dest]:

$$\begin{aligned} &\text{includes struct } L \\ &\text{shows } [| l \in Lower\ L\ A; x \in A; A \subseteq carrier\ L |] ==> l \sqsubseteq x \\ &\langle proof \rangle \end{aligned}$$

lemma *Lower-memI*:

$$\begin{aligned} &\text{includes struct } L \\ &\text{shows } [| !! y. y \in A ==> x \sqsubseteq y; x \in carrier\ L |] ==> x \in Lower\ L\ A \\ &\langle proof \rangle \end{aligned}$$

lemma *Lower-antimono*:

$$\begin{aligned} &A \subseteq B ==> Lower\ L\ B \subseteq Lower\ L\ A \\ &\langle proof \rangle \end{aligned}$$

1.1.3 least

lemma *least-carrier* [intro, simp]:

$$\begin{aligned} &\text{shows } least\ L\ l\ A ==> l \in carrier\ L \\ &\langle proof \rangle \end{aligned}$$

lemma *least-mem*:

least L l A ==> l ∈ A

<proof>

lemma (*in partial-order*) *least-unique*:

[| least L x A; least L y A |] ==> x = y

<proof>

lemma *least-le*:

includes *struct L*

shows *[| least L x A; a ∈ A |] ==> x ⊆ a*

<proof>

lemma *least-UpperI*:

includes *struct L*

assumes *above: !! x. x ∈ A ==> x ⊆ s*

and *below: !! y. y ∈ Upper L A ==> s ⊆ y*

and *L: A ⊆ carrier L s ∈ carrier L*

shows *least L s (Upper L A)*

<proof>

1.1.4 greatest

lemma *greatest-carrier* [*intro, simp*]:

shows *greatest L l A ==> l ∈ carrier L*

<proof>

lemma *greatest-mem*:

greatest L l A ==> l ∈ A

<proof>

lemma (*in partial-order*) *greatest-unique*:

[| greatest L x A; greatest L y A |] ==> x = y

<proof>

lemma *greatest-le*:

includes *struct L*

shows *[| greatest L x A; a ∈ A |] ==> a ⊆ x*

<proof>

lemma *greatest-LowerI*:

includes *struct L*

assumes *below: !! x. x ∈ A ==> i ⊆ x*

and *above: !! y. y ∈ Lower L A ==> y ⊆ i*

and *L: A ⊆ carrier L i ∈ carrier L*

shows *greatest L i (Lower L A)*

<proof>

1.2 Lattices

locale *lattice* = *partial-order* +

assumes *sup-of-two-exists*:

$[| x \in \text{carrier } L; y \in \text{carrier } L |] \implies \text{EX } s. \text{least } L \ s \ (\text{Upper } L \ \{x, y\})$

and *inf-of-two-exists*:

$[| x \in \text{carrier } L; y \in \text{carrier } L |] \implies \text{EX } s. \text{greatest } L \ s \ (\text{Lower } L \ \{x, y\})$

lemma *least-Upper-above*:

includes *struct* *L*

shows $[| \text{least } L \ s \ (\text{Upper } L \ A); x \in A; A \subseteq \text{carrier } L |] \implies x \sqsubseteq s$

<proof>

lemma *greatest-Lower-above*:

includes *struct* *L*

shows $[| \text{greatest } L \ i \ (\text{Lower } L \ A); x \in A; A \subseteq \text{carrier } L |] \implies i \sqsubseteq x$

<proof>

1.2.1 Supremum

lemma (**in** *lattice*) *joinI*:

$[| !!l. \text{least } L \ l \ (\text{Upper } L \ \{x, y\}) \implies P \ l; x \in \text{carrier } L; y \in \text{carrier } L |]$

$\implies P \ (x \sqcup y)$

<proof>

lemma (**in** *lattice*) *join-closed* [*simp*]:

$[| x \in \text{carrier } L; y \in \text{carrier } L |] \implies x \sqcup y \in \text{carrier } L$

<proof>

lemma (**in** *partial-order*) *sup-of-singletonI*:

$x \in \text{carrier } L \implies \text{least } L \ x \ (\text{Upper } L \ \{x\})$

<proof>

lemma (**in** *partial-order*) *sup-of-singleton* [*simp*]:

includes *struct* *L*

shows $x \in \text{carrier } L \implies \bigsqcup \{x\} = x$

<proof>

Condition on *A*: supremum exists.

lemma (**in** *lattice*) *sup-insertI*:

$[| !!s. \text{least } L \ s \ (\text{Upper } L \ (\text{insert } x \ A)) \implies P \ s;$

$\text{least } L \ a \ (\text{Upper } L \ A); x \in \text{carrier } L; A \subseteq \text{carrier } L |]$

$\implies P \ (\bigsqcup (\text{insert } x \ A))$

<proof>

lemma (**in** *lattice*) *finite-sup-least*:

$[| \text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\} |] \implies \text{least } L \ (\bigsqcup A) \ (\text{Upper } L \ A)$

<proof>

lemma (**in** *lattice*) *finite-sup-insertI*:

assumes $P: !!l. \text{least } L \ l \ (\text{Upper } L \ (\text{insert } x \ A)) \implies P \ l$
and $xA: \text{finite } A \ x \in \text{carrier } L \ A \subseteq \text{carrier } L$
shows $P \ (\bigsqcup (\text{insert } x \ A))$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *finite-sup-closed*:
 $[\![\text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\}]\!] \implies \bigsqcup A \in \text{carrier } L$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *join-left*:
 $[\![x \in \text{carrier } L; y \in \text{carrier } L]\!] \implies x \sqsubseteq x \sqcup y$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *join-right*:
 $[\![x \in \text{carrier } L; y \in \text{carrier } L]\!] \implies y \sqsubseteq x \sqcup y$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *sup-of-two-least*:
 $[\![x \in \text{carrier } L; y \in \text{carrier } L]\!] \implies \text{least } L \ (\bigsqcup \{x, y\}) \ (\text{Upper } L \ \{x, y\})$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *join-le*:
assumes $\text{sub}: x \sqsubseteq z \ y \sqsubseteq z$
and $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
shows $x \sqcup y \sqsubseteq z$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *join-assoc-lemma*:
assumes $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
shows $x \sqcup (y \sqcup z) = \bigsqcup \{x, y, z\}$
 $\langle \text{proof} \rangle$

lemma *join-comm*:
includes *struct* L
shows $x \sqcup y = y \sqcup x$
 $\langle \text{proof} \rangle$

lemma (**in** *lattice*) *join-assoc*:
assumes $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
shows $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$
 $\langle \text{proof} \rangle$

1.2.2 Infimum

lemma (**in** *lattice*) *meetI*:
 $[\![!!i. \text{greatest } L \ i \ (\text{Lower } L \ \{x, y\}) \implies P \ i; \\ x \in \text{carrier } L; y \in \text{carrier } L]\!] \\ \implies P \ (x \sqcap y)$
 $\langle \text{proof} \rangle$

lemma (in *lattice*) *meet-closed* [simp]:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies x \sqcap y \in \text{carrier } L$
 <proof>

lemma (in *partial-order*) *inf-of-singletonI*:
 $x \in \text{carrier } L \implies \text{greatest } L \ x \ (\text{Lower } L \ \{x\})$
 <proof>

lemma (in *partial-order*) *inf-of-singleton* [simp]:
 includes *struct* *L*
 shows $x \in \text{carrier } L \implies \bigcap \{x\} = x$
 <proof>

Condition on A: infimum exists.

lemma (in *lattice*) *inf-insertI*:
 $[[!i. \text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A))] \implies P \ i;$
 $\text{greatest } L \ a \ (\text{Lower } L \ A); x \in \text{carrier } L; A \subseteq \text{carrier } L \implies P \ (\bigcap (\text{insert } x \ A))$
 <proof>

lemma (in *lattice*) *finite-inf-greatest*:
 $[[\text{finite } A; A \subseteq \text{carrier } L; A \sim \{\}] \implies \text{greatest } L \ (\bigcap A) \ (\text{Lower } L \ A)$
 <proof>

lemma (in *lattice*) *finite-inf-insertI*:
 assumes $P: !i. \text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A)) \implies P \ i$
 and $xA: \text{finite } A \ x \in \text{carrier } L \ A \subseteq \text{carrier } L$
 shows $P \ (\bigcap (\text{insert } x \ A))$
 <proof>

lemma (in *lattice*) *finite-inf-closed*:
 $[[\text{finite } A; A \subseteq \text{carrier } L; A \sim \{\}] \implies \bigcap A \in \text{carrier } L$
 <proof>

lemma (in *lattice*) *meet-left*:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies x \sqcap y \sqsubseteq x$
 <proof>

lemma (in *lattice*) *meet-right*:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies x \sqcap y \sqsubseteq y$
 <proof>

lemma (in *lattice*) *inf-of-two-greatest*:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies$
 $\text{greatest } L \ (\bigcap \{x, y\}) \ (\text{Lower } L \ \{x, y\})$
 <proof>

lemma (in *lattice*) *meet-le*:

assumes *sub*: $z \sqsubseteq x \ z \sqsubseteq y$
and $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
shows $z \sqsubseteq x \sqcap y$
 $\langle \text{proof} \rangle$

lemma (*in lattice*) *meet-assoc-lemma*:
assumes $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
shows $x \sqcap (y \sqcap z) = \sqcap \{x, y, z\}$
 $\langle \text{proof} \rangle$

lemma *meet-comm*:
includes *struct* L
shows $x \sqcap y = y \sqcap x$
 $\langle \text{proof} \rangle$

lemma (*in lattice*) *meet-assoc*:
assumes $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
shows $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$
 $\langle \text{proof} \rangle$

1.3 Total Orders

locale *total-order* = *lattice* +
assumes *total*: $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \mid y \sqsubseteq x$

Introduction rule: the usual definition of total order

lemma (*in partial-order*) *total-orderI*:
assumes *total*: $\llbracket x y. \llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \mid y \sqsubseteq x$
shows *total-order* L
 $\langle \text{proof} \rangle$

1.4 Complete lattices

locale *complete-lattice* = *lattice* +
assumes *sup-exists*:
 $\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } s. \text{ least } L \ s \ (\text{Upper } L \ A)$
and *inf-exists*:
 $\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } i. \text{ greatest } L \ i \ (\text{Lower } L \ A)$

Introduction rule: the usual definition of complete lattice

lemma (*in partial-order*) *complete-latticeI*:
assumes *sup-exists*:
 $\llbracket A. \llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } s. \text{ least } L \ s \ (\text{Upper } L \ A)$
and *inf-exists*:
 $\llbracket A. \llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } i. \text{ greatest } L \ i \ (\text{Lower } L \ A)$
shows *complete-lattice* L
 $\langle \text{proof} \rangle$

constdefs (*structure* L)

$top :: - \Rightarrow 'a \ (\top_1)$
 $\top == sup \ L \ (carrier \ L)$

$bottom :: - \Rightarrow 'a \ (\perp_1)$
 $\perp == inf \ L \ (carrier \ L)$

lemma (in *complete-lattice*) *supI*:
 $[| \ !l. \ least \ L \ l \ (Upper \ L \ A) \ ==> \ P \ l; \ A \subseteq \ carrier \ L \ |]$
 $\implies P \ (\bigsqcup A)$
 $\langle proof \rangle$

lemma (in *complete-lattice*) *sup-closed* [*simp*]:
 $A \subseteq \ carrier \ L \implies \bigsqcup A \in \ carrier \ L$
 $\langle proof \rangle$

lemma (in *complete-lattice*) *top-closed* [*simp*, *intro*]:
 $\top \in \ carrier \ L$
 $\langle proof \rangle$

lemma (in *complete-lattice*) *infI*:
 $[| \ !i. \ greatest \ L \ i \ (Lower \ L \ A) \ ==> \ P \ i; \ A \subseteq \ carrier \ L \ |]$
 $\implies P \ (\bigsqcap A)$
 $\langle proof \rangle$

lemma (in *complete-lattice*) *inf-closed* [*simp*]:
 $A \subseteq \ carrier \ L \implies \bigsqcap A \in \ carrier \ L$
 $\langle proof \rangle$

lemma (in *complete-lattice*) *bottom-closed* [*simp*, *intro*]:
 $\perp \in \ carrier \ L$
 $\langle proof \rangle$

Jacobson: Theorem 8.1

lemma *Lower-empty* [*simp*]:
 $Lower \ L \ \{\} = \ carrier \ L$
 $\langle proof \rangle$

lemma *Upper-empty* [*simp*]:
 $Upper \ L \ \{\} = \ carrier \ L$
 $\langle proof \rangle$

theorem (in *partial-order*) *complete-lattice-criterion1*:
assumes *top-exists*: $EX \ g. \ greatest \ L \ g \ (carrier \ L)$
and *inf-exists*:
 $\!\!\!A. \ [| \ A \subseteq \ carrier \ L; \ A \ \sim = \ \{\} \ |] \implies EX \ i. \ greatest \ L \ i \ (Lower \ L \ A)$
shows *complete-lattice* L
 $\langle proof \rangle$

1.5 Examples

1.5.1 Powerset of a set is a complete lattice

theorem *powerset-is-complete-lattice:*

complete-lattice ($|$ *carrier* = *Pow A*, *le* = *op* \subseteq $|$)
(is complete-lattice ?L)
<proof>

An other example, that of the lattice of subgroups of a group, can be found in Group theory (Section 3.9).

end

2 Group: Groups

theory *Group* **imports** *FuncSet Lattice* **begin**

3 Monoids and Groups

Definitions follow [2].

3.1 Definitions

record *'a monoid* = *'a partial-object* +
mult :: [*'a*, *'a*] \Rightarrow *'a* (**infixl** \otimes_1 70)
one :: *'a* (**1**₁)

constdefs (**structure** *G*)

m-inv :: (*'a*, *'b*) *monoid-scheme* \Rightarrow *'a* \Rightarrow *'a* (*inv*₁ - [81] 80)
inv *x* == (*THE* *y*. *y* \in *carrier G* & *x* \otimes *y* = **1** & *y* \otimes *x* = **1**)

Units :: - \Rightarrow *'a set*

— The set of invertible elements

Units G == {*y*. *y* \in *carrier G* & (\exists *x* \in *carrier G*. *x* \otimes *y* = **1** & *y* \otimes *x* = **1**)}

consts

pow :: [(*'a*, *'m*) *monoid-scheme*, *'a*, *'b::number*] \Rightarrow *'a* (**infixr** $'(^)$ ₁ 75)

defs (**overloaded**)

nat-pow-def: *pow G a n* == *nat-rec* **1**_{*G*} (%*u b*. *b* \otimes_G *a*) *n*

int-pow-def: *pow G a z* ==

let *p* = *nat-rec* **1**_{*G*} (%*u b*. *b* \otimes_G *a*)

*in if neg z then inv*_{*G*} (*p* (*nat* (-*z*))) *else p* (*nat z*)

locale *monoid* = *struct G* +

assumes *m-closed* [*intro*, *simp*]:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \Longrightarrow x \otimes y \in \text{carrier } G$

and *m-assoc*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket$
 $\implies (x \otimes y) \otimes z = x \otimes (y \otimes z)$
and *one-closed* [*intro*, *simp*]: $\mathbf{1} \in \text{carrier } G$
and *l-one* [*simp*]: $x \in \text{carrier } G \implies \mathbf{1} \otimes x = x$
and *r-one* [*simp*]: $x \in \text{carrier } G \implies x \otimes \mathbf{1} = x$

lemma *monoidI*:
includes *struct* *G*
assumes *m-closed*:
 $\llbracket x \ y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$
and *one-closed*: $\mathbf{1} \in \text{carrier } G$
and *m-assoc*:
 $\llbracket x \ y \ z. \llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
and *l-one*: $\llbracket x. x \in \text{carrier } G \implies \mathbf{1} \otimes x = x$
and *r-one*: $\llbracket x. x \in \text{carrier } G \implies x \otimes \mathbf{1} = x$
shows *monoid* *G*
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *Units-closed* [*dest*]:
 $x \in \text{Units } G \implies x \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *inv-unique*:
assumes *eq*: $y \otimes x = \mathbf{1} \quad x \otimes y' = \mathbf{1}$
and *G*: $x \in \text{carrier } G \quad y \in \text{carrier } G \quad y' \in \text{carrier } G$
shows $y = y'$
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *Units-one-closed* [*intro*, *simp*]:
 $\mathbf{1} \in \text{Units } G$
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *Units-inv-closed* [*intro*, *simp*]:
 $x \in \text{Units } G \implies \text{inv } x \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *Units-l-inv*:
 $x \in \text{Units } G \implies \text{inv } x \otimes x = \mathbf{1}$
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *Units-r-inv*:
 $x \in \text{Units } G \implies x \otimes \text{inv } x = \mathbf{1}$
 $\langle \text{proof} \rangle$

lemma (**in** *monoid*) *Units-inv-Units* [*intro*, *simp*]:
 $x \in \text{Units } G \implies \text{inv } x \in \text{Units } G$
 $\langle \text{proof} \rangle$

lemma (in monoid) Units-l-cancel [simp]:

$$[| x \in \text{Units } G; y \in \text{carrier } G; z \in \text{carrier } G |] ==>$$

$$(x \otimes y = x \otimes z) = (y = z)$$
 <proof>

lemma (in monoid) Units-inv-inv [simp]:

$$x \in \text{Units } G ==> \text{inv } (\text{inv } x) = x$$
 <proof>

lemma (in monoid) inv-inj-on-Units:

$$\text{inj-on } (m\text{-inv } G) (\text{Units } G)$$
 <proof>

lemma (in monoid) Units-inv-comm:
 assumes inv: $x \otimes y = \mathbf{1}$
 and G: $x \in \text{Units } G \ y \in \text{Units } G$
 shows $y \otimes x = \mathbf{1}$
 <proof>

Power

lemma (in monoid) nat-pow-closed [intro, simp]:

$$x \in \text{carrier } G ==> x \ (^) \ (n::\text{nat}) \in \text{carrier } G$$
 <proof>

lemma (in monoid) nat-pow-0 [simp]:

$$x \ (^) \ (0::\text{nat}) = \mathbf{1}$$
 <proof>

lemma (in monoid) nat-pow-Suc [simp]:

$$x \ (^) \ (\text{Suc } n) = x \ (^) \ n \otimes x$$
 <proof>

lemma (in monoid) nat-pow-one [simp]:

$$\mathbf{1} \ (^) \ (n::\text{nat}) = \mathbf{1}$$
 <proof>

lemma (in monoid) nat-pow-mult:

$$x \in \text{carrier } G ==> x \ (^) \ (n::\text{nat}) \otimes x \ (^) \ m = x \ (^) \ (n + m)$$
 <proof>

lemma (in monoid) nat-pow-pow:

$$x \in \text{carrier } G ==> (x \ (^) \ n) \ (^) \ m = x \ (^) \ (n * m::\text{nat})$$
 <proof>

A group is a monoid all of whose elements are invertible.

locale group = monoid +
 assumes Units: $\text{carrier } G \leq \text{Units } G$

lemma (in group) *is-group*: group G
 ⟨proof⟩

theorem *groupI*:
 includes *struct* G
 assumes *m-closed* [simp]:
 $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] \implies x \otimes y \in \text{carrier } G$
 and *one-closed* [simp]: $1 \in \text{carrier } G$
 and *m-assoc*:
 $!!x\ y\ z. [| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] \implies$
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
 and *l-one* [simp]: $!!x. x \in \text{carrier } G \implies 1 \otimes x = x$
 and *l-inv-ex*: $!!x. x \in \text{carrier } G \implies \exists y \in \text{carrier } G. y \otimes x = 1$
 shows *group* G
 ⟨proof⟩

lemma (in monoid) *monoid-groupI*:
 assumes *l-inv-ex*:
 $!!x. x \in \text{carrier } G \implies \exists y \in \text{carrier } G. y \otimes x = 1$
 shows *group* G
 ⟨proof⟩

lemma (in group) *Units-eq* [simp]:
 Units $G = \text{carrier } G$
 ⟨proof⟩

lemma (in group) *inv-closed* [intro, simp]:
 $x \in \text{carrier } G \implies \text{inv } x \in \text{carrier } G$
 ⟨proof⟩

lemma (in group) *l-inv* [simp]:
 $x \in \text{carrier } G \implies \text{inv } x \otimes x = 1$
 ⟨proof⟩

3.2 Cancellation Laws and Basic Properties

lemma (in group) *l-cancel* [simp]:
 $[| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] \implies$
 $(x \otimes y = x \otimes z) = (y = z)$
 ⟨proof⟩

lemma (in group) *r-inv* [simp]:
 $x \in \text{carrier } G \implies x \otimes \text{inv } x = 1$
 ⟨proof⟩

lemma (in group) *r-cancel* [simp]:
 $[| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] \implies$
 $(y \otimes x = z \otimes x) = (y = z)$

$\langle proof \rangle$

lemma (in group) inv-one [simp]:
 $inv \mathbf{1} = \mathbf{1}$
 $\langle proof \rangle$

lemma (in group) inv-inv [simp]:
 $x \in carrier\ G \implies inv\ (inv\ x) = x$
 $\langle proof \rangle$

lemma (in group) inv-inj:
 $inj\text{-}on\ (m\text{-}inv\ G)\ (carrier\ G)$
 $\langle proof \rangle$

lemma (in group) inv-mult-group:
 $\llbracket x \in carrier\ G; y \in carrier\ G \rrbracket \implies inv\ (x \otimes y) = inv\ y \otimes inv\ x$
 $\langle proof \rangle$

lemma (in group) inv-comm:
 $\llbracket x \otimes y = \mathbf{1}; x \in carrier\ G; y \in carrier\ G \rrbracket \implies y \otimes x = \mathbf{1}$
 $\langle proof \rangle$

lemma (in group) inv-equality:
 $\llbracket y \otimes x = \mathbf{1}; x \in carrier\ G; y \in carrier\ G \rrbracket \implies inv\ x = y$
 $\langle proof \rangle$

Power

lemma (in group) int-pow-def2:
 $a\ (^)\ (z::int) = (if\ neg\ z\ then\ inv\ (a\ (^)\ (nat\ (-z)))\ else\ a\ (^)\ (nat\ z))$
 $\langle proof \rangle$

lemma (in group) int-pow-0 [simp]:
 $x\ (^)\ (0::int) = \mathbf{1}$
 $\langle proof \rangle$

lemma (in group) int-pow-one [simp]:
 $\mathbf{1}\ (^)\ (z::int) = \mathbf{1}$
 $\langle proof \rangle$

3.3 Subgroups

locale subgroup = var H + struct G +
 assumes subset: $H \subseteq carrier\ G$
 and m-closed [intro, simp]: $\llbracket x \in H; y \in H \rrbracket \implies x \otimes y \in H$
 and one-closed [simp]: $\mathbf{1} \in H$
 and m-inv-closed [intro, simp]: $x \in H \implies inv\ x \in H$

declare (in subgroup) group.intro [intro]

lemma (in subgroup) mem-carrier [simp]:
 $x \in H \implies x \in \text{carrier } G$
 ⟨proof⟩

lemma subgroup-imp-subset:
 $\text{subgroup } H \ G \implies H \subseteq \text{carrier } G$
 ⟨proof⟩

lemma (in subgroup) subgroup-is-group [intro]:
 includes group G
 shows group $(G(\text{carrier} := H))$
 ⟨proof⟩

Since H is nonempty, it contains some element x . Since it is closed under inverse, it contains $\text{inv } x$. Since it is closed under product, it contains $x \otimes \text{inv } x = \mathbf{1}$.

lemma (in group) one-in-subset:
 $[| H \subseteq \text{carrier } G; H \neq \{\}; \forall a \in H. \text{inv } a \in H; \forall a \in H. \forall b \in H. a \otimes b \in H |]$
 $\implies \mathbf{1} \in H$
 ⟨proof⟩

A characterization of subgroups: closed, non-empty subset.

lemma (in group) subgroupI:
 assumes subset: $H \subseteq \text{carrier } G$ and non-empty: $H \neq \{\}$
 and inv: $\forall a. a \in H \implies \text{inv } a \in H$
 and mult: $\forall a \ b. [a \in H; b \in H] \implies a \otimes b \in H$
 shows subgroup $H \ G$
 ⟨proof⟩

declare monoid.one-closed [iff] group.inv-closed [simp]
 monoid.l-one [simp] monoid.r-one [simp] group.inv-inv [simp]

lemma subgroup-nonempty:
 $\sim \text{subgroup } \{\} \ G$
 ⟨proof⟩

lemma (in subgroup) finite-imp-card-positive:
 $\text{finite } (\text{carrier } G) \implies 0 < \text{card } H$
 ⟨proof⟩

3.4 Direct Products

constdefs
 $\text{DirProd} :: - \Rightarrow - \Rightarrow ('a \times 'b) \text{ monoid } (\text{infixr } \times \times 80)$
 $G \times \times H \equiv (\text{carrier} = \text{carrier } G \times \text{carrier } H,$
 $\text{mult} = (\lambda(g, h) (g', h'). (g \otimes_G g', h \otimes_H h')),$
 $\text{one} = (\mathbf{1}_G, \mathbf{1}_H))$

lemma DirProd-monoid:

includes *monoid* G + *monoid* H
shows *monoid* $(G \times \times H)$
 $\langle \text{proof} \rangle$

Does not use the previous result because it’s easier just to use *auto*.

lemma *DirProd-group*:
includes *group* G + *group* H
shows *group* $(G \times \times H)$
 $\langle \text{proof} \rangle$

lemma *carrier-DirProd [simp]*:
 $\text{carrier } (G \times \times H) = \text{carrier } G \times \text{carrier } H$
 $\langle \text{proof} \rangle$

lemma *one-DirProd [simp]*:
 $\mathbf{1}_{G \times \times H} = (\mathbf{1}_G, \mathbf{1}_H)$
 $\langle \text{proof} \rangle$

lemma *mult-DirProd [simp]*:
 $(g, h) \otimes_{(G \times \times H)} (g', h') = (g \otimes_G g', h \otimes_H h')$
 $\langle \text{proof} \rangle$

lemma *inv-DirProd [simp]*:
includes *group* G + *group* H
assumes $g: g \in \text{carrier } G$
and $h: h \in \text{carrier } H$
shows $m\text{-inv } (G \times \times H) (g, h) = (\text{inv}_G g, \text{inv}_H h)$
 $\langle \text{proof} \rangle$

This alternative proof of the previous result demonstrates *interpret*. It uses *Prod.inv-equality* (available after *interpret*) instead of *group.inv-equality [OF DirProd-group]*.

lemma
includes *group* G + *group* H
assumes $g: g \in \text{carrier } G$
and $h: h \in \text{carrier } H$
shows $m\text{-inv } (G \times \times H) (g, h) = (\text{inv}_G g, \text{inv}_H h)$
 $\langle \text{proof} \rangle$

3.5 Homomorphisms and Isomorphisms

constdefs (**structure** G **and** H)
 $\text{hom} :: - \Rightarrow - \Rightarrow ('a \Rightarrow 'b) \text{ set}$
 $\text{hom } G \ H ==$
 $\{h. h \in \text{carrier } G \rightarrow \text{carrier } H \ \&$
 $(\forall x \in \text{carrier } G. \forall y \in \text{carrier } G. h (x \otimes_G y) = h x \otimes_H h y)\}$

lemma *hom-mult*:
 $[[h \in \text{hom } G \ H; x \in \text{carrier } G; y \in \text{carrier } G]]$

$\implies h (x \otimes_G y) = h x \otimes_H h y$
 $\langle \text{proof} \rangle$

lemma *hom-closed*:

$[[h \in \text{hom } G \ H; x \in \text{carrier } G]] \implies h x \in \text{carrier } H$
 $\langle \text{proof} \rangle$

lemma (*in group*) *hom-compose*:

$[[h \in \text{hom } G \ H; i \in \text{hom } H \ I]] \implies \text{compose } (\text{carrier } G) \ i \ h \in \text{hom } G \ I$
 $\langle \text{proof} \rangle$

3.6 Isomorphisms

constdefs

$\text{iso} :: - \implies - \implies ('a \implies 'b) \text{ set } (\text{infixr } \cong 60)$
 $G \cong H == \{h. h \in \text{hom } G \ H \ \& \ \text{bij-betw } h \ (\text{carrier } G) \ (\text{carrier } H)\}$

lemma *iso-refl*: $(\%x. x) \in G \cong G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *iso-sym*:

$h \in G \cong H \implies \text{Inv } (\text{carrier } G) \ h \in H \cong G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *iso-trans*:

$[[h \in G \cong H; i \in H \cong I]] \implies (\text{compose } (\text{carrier } G) \ i \ h) \in G \cong I$
 $\langle \text{proof} \rangle$

lemma *DirProd-commute-iso*:

shows $(\lambda(x,y). (y,x)) \in (G \times \times H) \cong (H \times \times G)$
 $\langle \text{proof} \rangle$

lemma *DirProd-assoc-iso*:

shows $(\lambda(x,y,z). (x,(y,z))) \in (G \times \times H \times \times I) \cong (G \times \times (H \times \times I))$
 $\langle \text{proof} \rangle$

Basis for homomorphism proofs: we assume two groups G and H , with a homomorphism h between them

locale *group-hom* = *group* G + *group* H + *var* h +
assumes *homh*: $h \in \text{hom } G \ H$
notes *hom-mult* [*simp*] = *hom-mult* [*OF homh*]
and *hom-closed* [*simp*] = *hom-closed* [*OF homh*]

lemma (*in group-hom*) *one-closed* [*simp*]:

$h \ 1 \in \text{carrier } H$
 $\langle \text{proof} \rangle$

lemma (*in group-hom*) *hom-one* [*simp*]:

$h \ 1 = 1_H$

$\langle proof \rangle$

lemma (in *group-hom*) *inv-closed* [simp]:
 $x \in \text{carrier } G \implies h (\text{inv } x) \in \text{carrier } H$
 $\langle proof \rangle$

lemma (in *group-hom*) *hom-inv* [simp]:
 $x \in \text{carrier } G \implies h (\text{inv } x) = \text{inv}_H (h x)$
 $\langle proof \rangle$

3.7 Commutative Structures

Naming convention: multiplicative structures that are commutative are called *commutative*, additive structures are called *Abelian*.

3.8 Definition

locale *comm-monoid* = *monoid* +
assumes *m-comm*: $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$

lemma (in *comm-monoid*) *m-lcomm*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $x \otimes (y \otimes z) = y \otimes (x \otimes z)$
 $\langle proof \rangle$

lemmas (in *comm-monoid*) *m-ac* = *m-assoc* *m-comm* *m-lcomm*

lemma *comm-monoidI*:
includes *struct* *G*
assumes *m-closed*:
 $\llbracket x y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$
and *one-closed*: $\mathbf{1} \in \text{carrier } G$
and *m-assoc*:
 $\llbracket x y z. \llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
and *l-one*: $\llbracket x. x \in \text{carrier } G \rrbracket \implies \mathbf{1} \otimes x = x$
and *m-comm*:
 $\llbracket x y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$
shows *comm-monoid* *G*
 $\langle proof \rangle$

lemma (in *monoid*) *monoid-comm-monoidI*:
assumes *m-comm*:
 $\llbracket x y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$
shows *comm-monoid* *G*
 $\langle proof \rangle$

lemma (in *comm-monoid*) *nat-pow-distr*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y) (\wedge) (n::\text{nat}) = x (\wedge) n \otimes y (\wedge) n$
 <proof>

locale *comm-group* = *comm-monoid* + *group*

lemma (in *group*) *group-comm-groupI*:
assumes *m-comm*: $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies$
 $x \otimes y = y \otimes x$
shows *comm-group* *G*
 <proof>

lemma *comm-groupI*:
includes *struct* *G*
assumes *m-closed*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$
and *one-closed*: $\mathbf{1} \in \text{carrier } G$
and *m-assoc*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
and *m-comm*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$
and *l-one*: $\llbracket x \in \text{carrier } G \rrbracket \implies \mathbf{1} \otimes x = x$
and *l-inv-ex*: $\llbracket x \in \text{carrier } G \rrbracket \implies \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$
shows *comm-group* *G*
 <proof>

lemma (in *comm-group*) *inv-mult*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies \text{inv } (x \otimes y) = \text{inv } x \otimes \text{inv } y$
 <proof>

3.9 Lattice of subgroups of a group

theorem (in *group*) *subgroups-partial-order*:
partial-order ($\llbracket \text{carrier} = \{H. \text{subgroup } H \text{ } G\}, \text{le} = \text{op} \subseteq \rrbracket$)
 <proof>

lemma (in *group*) *subgroup-self*:
 $\text{subgroup } (\text{carrier } G) \text{ } G$
 <proof>

lemma (in *group*) *subgroup-imp-group*:
 $\text{subgroup } H \text{ } G \implies \text{group } (G(\llbracket \text{carrier} := H \rrbracket))$
 <proof>

lemma (in *group*) *is-monoid* [*intro*, *simp*]:
 $\text{monoid } G$
 <proof>

lemma (in group) subgroup-inv-equality:

$[| \text{subgroup } H \ G; x \in H \ |] \implies m\text{-inv } (G \ (| \text{carrier} := H \ |)) \ x = \text{inv } x$
 $\langle \text{proof} \rangle$

theorem (in group) subgroups-Inter:

assumes subgr: $(!!H. H \in A \implies \text{subgroup } H \ G)$
and not-empty: $A \sim = \{\}$
shows subgroup $(\bigcap A) \ G$
 $\langle \text{proof} \rangle$

theorem (in group) subgroups-complete-lattice:

$\text{complete-lattice } (| \text{carrier} = \{H. \text{subgroup } H \ G\}, \text{le} = \text{op} \subseteq |)$
(is complete-lattice ?L)
 $\langle \text{proof} \rangle$

end

4 FiniteProduct: Product Operator for Commutative Monoids

theory FiniteProduct **imports** Group **begin**

Instantiation of locale LC of theory *Finite-Set* is not possible, because here we have explicit typing rules like $x \in \text{carrier } G$. We introduce an explicit argument for the domain D .

consts

$\text{foldSetD} :: ['a \text{ set}, 'b \implies 'a \implies 'a, 'a] \implies ('b \text{ set} * 'a) \text{ set}$

inductive foldSetD $D \ f \ e$

intros

$\text{emptyI} \ [\text{intro}]: e \in D \implies (\{\}, e) \in \text{foldSetD } D \ f \ e$

$\text{insertI} \ [\text{intro}]: [| x \sim: A; f \ x \ y \in D; (A, y) \in \text{foldSetD } D \ f \ e \ |] \implies$
 $(\text{insert } x \ A, f \ x \ y) \in \text{foldSetD } D \ f \ e$

inductive-cases empty-foldSetDE $[\text{elim!}]: (\{\}, x) \in \text{foldSetD } D \ f \ e$

constdefs

$\text{foldD} :: ['a \text{ set}, 'b \implies 'a \implies 'a, 'a, 'b \text{ set}] \implies 'a$

$\text{foldD } D \ f \ e \ A == \text{THE } x. (A, x) \in \text{foldSetD } D \ f \ e$

lemma foldSetD-closed:

$[| (A, z) \in \text{foldSetD } D \ f \ e; e \in D; !!x \ y. [| x \in A; y \in D \ |] \implies f \ x \ y \in D$
 $|] \implies z \in D$
 $\langle \text{proof} \rangle$

lemma Diff1-foldSetD:

$$\begin{aligned} & [| (A - \{x\}, y) \in \text{foldSetD } D f e; x \in A; f x y \in D |] ==> \\ & (A, f x y) \in \text{foldSetD } D f e \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *foldSetD-imp-finite* [simp]: $(A, x) \in \text{foldSetD } D f e ==> \text{finite } A$
 $\langle \text{proof} \rangle$

lemma *finite-imp-foldSetD*:

$$\begin{aligned} & [| \text{finite } A; e \in D; !!x y. [| x \in A; y \in D |] ==> f x y \in D |] ==> \\ & EX x. (A, x) \in \text{foldSetD } D f e \\ & \langle \text{proof} \rangle \end{aligned}$$

4.1 Left-commutative operations

locale *LCD* =
fixes $B :: 'b \text{ set}$
and $D :: 'a \text{ set}$
and $f :: 'b ==> 'a ==> 'a$ (**infixl** \cdot 70)
assumes *left-commute*:

$$[| x \in B; y \in B; z \in D |] ==> x \cdot (y \cdot z) = y \cdot (x \cdot z)$$

and *f-closed* [simp, intro!]: $!!x y. [| x \in B; y \in D |] ==> f x y \in D$

lemma (**in** *LCD*) *foldSetD-closed* [dest]:
 $(A, z) \in \text{foldSetD } D f e ==> z \in D$
 $\langle \text{proof} \rangle$

lemma (**in** *LCD*) *Diff1-foldSetD*:

$$\begin{aligned} & [| (A - \{x\}, y) \in \text{foldSetD } D f e; x \in A; A \subseteq B |] ==> \\ & (A, f x y) \in \text{foldSetD } D f e \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (**in** *LCD*) *foldSetD-imp-finite* [simp]:
 $(A, x) \in \text{foldSetD } D f e ==> \text{finite } A$
 $\langle \text{proof} \rangle$

lemma (**in** *LCD*) *finite-imp-foldSetD*:

$$[| \text{finite } A; A \subseteq B; e \in D |] ==> EX x. (A, x) \in \text{foldSetD } D f e$$

 $\langle \text{proof} \rangle$

lemma (**in** *LCD*) *foldSetD-determ-aux*:

$$\begin{aligned} & e \in D ==> \forall A x. A \subseteq B \ \& \ \text{card } A < n \longrightarrow (A, x) \in \text{foldSetD } D f e \longrightarrow \\ & (\forall y. (A, y) \in \text{foldSetD } D f e \longrightarrow y = x) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (**in** *LCD*) *foldSetD-determ*:

$$\begin{aligned} & [| (A, x) \in \text{foldSetD } D f e; (A, y) \in \text{foldSetD } D f e; e \in D; A \subseteq B |] \\ & ==> y = x \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *LCD*) *foldD-equality*:

$\llbracket (A, y) \in \text{foldSetD } D \text{ } f \text{ } e; e \in D; A \subseteq B \rrbracket \implies \text{foldD } D \text{ } f \text{ } e \text{ } A = y$
 $\langle \text{proof} \rangle$

lemma *foldD-empty* [*simp*]:

$e \in D \implies \text{foldD } D \text{ } f \text{ } e \text{ } \{\} = e$
 $\langle \text{proof} \rangle$

lemma (in *LCD*) *foldD-insert-aux*:

$\llbracket x \sim: A; x \in B; e \in D; A \subseteq B \rrbracket \implies$
 $((\text{insert } x \text{ } A, v) \in \text{foldSetD } D \text{ } f \text{ } e) =$
 $(EX \text{ } y. (A, y) \in \text{foldSetD } D \text{ } f \text{ } e \ \& \ v = f \text{ } x \text{ } y)$
 $\langle \text{proof} \rangle$

lemma (in *LCD*) *foldD-insert*:

$\llbracket \text{finite } A; x \sim: A; x \in B; e \in D; A \subseteq B \rrbracket \implies$
 $\text{foldD } D \text{ } f \text{ } e \text{ } (\text{insert } x \text{ } A) = f \text{ } x \text{ } (\text{foldD } D \text{ } f \text{ } e \text{ } A)$
 $\langle \text{proof} \rangle$

lemma (in *LCD*) *foldD-closed* [*simp*]:

$\llbracket \text{finite } A; e \in D; A \subseteq B \rrbracket \implies \text{foldD } D \text{ } f \text{ } e \text{ } A \in D$
 $\langle \text{proof} \rangle$

lemma (in *LCD*) *foldD-commute*:

$\llbracket \text{finite } A; x \in B; e \in D; A \subseteq B \rrbracket \implies$
 $f \text{ } x \text{ } (\text{foldD } D \text{ } f \text{ } e \text{ } A) = \text{foldD } D \text{ } f \text{ } (f \text{ } x \text{ } e) \text{ } A$
 $\langle \text{proof} \rangle$

lemma *Int-mono2*:

$\llbracket A \subseteq C; B \subseteq C \rrbracket \implies A \text{ Int } B \subseteq C$
 $\langle \text{proof} \rangle$

lemma (in *LCD*) *foldD-nest-Un-Int*:

$\llbracket \text{finite } A; \text{finite } C; e \in D; A \subseteq B; C \subseteq B \rrbracket \implies$
 $\text{foldD } D \text{ } f \text{ } (\text{foldD } D \text{ } f \text{ } e \text{ } C) \text{ } A = \text{foldD } D \text{ } f \text{ } (\text{foldD } D \text{ } f \text{ } e \text{ } (A \text{ Int } C)) \text{ } (A \text{ Un } C)$
 $\langle \text{proof} \rangle$

lemma (in *LCD*) *foldD-nest-Un-disjoint*:

$\llbracket \text{finite } A; \text{finite } B; A \text{ Int } B = \{\}; e \in D; A \subseteq B; C \subseteq B \rrbracket$
 $\implies \text{foldD } D \text{ } f \text{ } e \text{ } (A \text{ Un } B) = \text{foldD } D \text{ } f \text{ } (\text{foldD } D \text{ } f \text{ } e \text{ } B) \text{ } A$
 $\langle \text{proof} \rangle$

declare *foldSetD-imp-finite* [*simp del*]

empty-foldSetDE [*rule del*]

foldSetD.intros [*rule del*]

declare (in *LCD*)

foldSetD-closed [*rule del*]

4.2 Commutative monoids

We enter a more restrictive context, with $f :: 'a \Rightarrow 'a \Rightarrow 'a$ instead of $'b \Rightarrow 'a \Rightarrow 'a$.

```

locale ACeD =
  fixes D :: 'a set
  and f :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a    (infixl  $\cdot$  70)
  and e :: 'a
  assumes ident [simp]: x  $\in$  D  $\implies$  x  $\cdot$  e = x
  and commute: [| x  $\in$  D; y  $\in$  D |]  $\implies$  x  $\cdot$  y = y  $\cdot$  x
  and assoc: [| x  $\in$  D; y  $\in$  D; z  $\in$  D |]  $\implies$  (x  $\cdot$  y)  $\cdot$  z = x  $\cdot$  (y  $\cdot$  z)
  and e-closed [simp]: e  $\in$  D
  and f-closed [simp]: [| x  $\in$  D; y  $\in$  D |]  $\implies$  x  $\cdot$  y  $\in$  D

```

```

lemma (in ACeD) left-commute:
  [| x  $\in$  D; y  $\in$  D; z  $\in$  D |]  $\implies$  x  $\cdot$  (y  $\cdot$  z) = y  $\cdot$  (x  $\cdot$  z)
  <proof>

```

```

lemmas (in ACeD) AC = assoc commute left-commute

```

```

lemma (in ACeD) left-ident [simp]: x  $\in$  D  $\implies$  e  $\cdot$  x = x
  <proof>

```

```

lemma (in ACeD) foldD-Un-Int:
  [| finite A; finite B; A  $\subseteq$  D; B  $\subseteq$  D |]  $\implies$ 
    foldD D f e A  $\cdot$  foldD D f e B =
    foldD D f e (A Un B)  $\cdot$  foldD D f e (A Int B)
  <proof>

```

```

lemma (in ACeD) foldD-Un-disjoint:
  [| finite A; finite B; A Int B = {}; A  $\subseteq$  D; B  $\subseteq$  D |]  $\implies$ 
    foldD D f e (A Un B) = foldD D f e A  $\cdot$  foldD D f e B
  <proof>

```

4.3 Products over Finite Sets

```

constdefs (structure G)
  finprod :: [('b, 'm) monoid-scheme, 'a  $\Rightarrow$  'b, 'a set]  $\Rightarrow$  'b
  finprod G f A == if finite A
    then foldD (carrier G) (mult G o f) 1 A
    else arbitrary

```

```

syntax
  -finprod :: index  $\Rightarrow$  idt  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b
    ((3 $\otimes$  --:.-. -) [1000, 0, 51, 10] 10)
syntax (xsymbols)
  -finprod :: index  $\Rightarrow$  idt  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b
    ((3 $\otimes$  --:-.-. -) [1000, 0, 51, 10] 10)
syntax (HTML output)

```

-*finprod* :: *index* ==> *idt* ==> 'a *set* ==> 'b ==> 'b
 (($\exists \otimes$ -- \in . -) [1000, 0, 51, 10] 10)

translations

$\otimes_{i:A.} b == \text{finprod } \diamond_1 (\%i. b) A$
 — Beware of argument permutation!

lemma (in *comm-monoid*) *finprod-empty* [*simp*]:
finprod *G* *f* {} = 1
 <proof>

declare *funcsetI* [*intro*]
funcset-mem [*dest*]

lemma (in *comm-monoid*) *finprod-insert* [*simp*]:
 [| *finite* *F*; *a* \notin *F*; *f* \in *F* -> *carrier* *G*; *f* *a* \in *carrier* *G* |] ==>
finprod *G* *f* (*insert* *a* *F*) = *f* *a* \otimes *finprod* *G* *f* *F*
 <proof>

lemma (in *comm-monoid*) *finprod-one* [*simp*]:
finite *A* ==> ($\otimes_{i:A.} 1$) = 1
 <proof>

lemma (in *comm-monoid*) *finprod-closed* [*simp*]:
fixes *A*
assumes *fin*: *finite* *A* **and** *f*: *f* \in *A* -> *carrier* *G*
shows *finprod* *G* *f* *A* \in *carrier* *G*
 <proof>

lemma *funcset-Int-left* [*simp*, *intro*]:
 [| *f* \in *A* -> *C*; *f* \in *B* -> *C* |] ==> *f* \in *A* *Int* *B* -> *C*
 <proof>

lemma *funcset-Un-left* [*iff*]:
 (*f* \in *A* *Un* *B* -> *C*) = (*f* \in *A* -> *C* & *f* \in *B* -> *C*)
 <proof>

lemma (in *comm-monoid*) *finprod-Un-Int*:
 [| *finite* *A*; *finite* *B*; *g* \in *A* -> *carrier* *G*; *g* \in *B* -> *carrier* *G* |] ==>
finprod *G* *g* (*A* *Un* *B*) \otimes *finprod* *G* *g* (*A* *Int* *B*) =
finprod *G* *g* *A* \otimes *finprod* *G* *g* *B*
 — The reversed orientation looks more natural, but LOOPS as a simprule!
 <proof>

lemma (in *comm-monoid*) *finprod-Un-disjoint*:
 [| *finite* *A*; *finite* *B*; *A* *Int* *B* = {};
 g \in *A* -> *carrier* *G*; *g* \in *B* -> *carrier* *G* |]
 ==> *finprod* *G* *g* (*A* *Un* *B*) = *finprod* *G* *g* *A* \otimes *finprod* *G* *g* *B*
 <proof>

lemma (in *comm-monoid*) *finprod-multf*:

$$[| \text{finite } A; f \in A \rightarrow \text{carrier } G; g \in A \rightarrow \text{carrier } G |] ==>$$

$$\text{finprod } G (\%x. f x \otimes g x) A = (\text{finprod } G f A \otimes \text{finprod } G g A)$$

$$\langle \text{proof} \rangle$$

lemma (in *comm-monoid*) *finprod-cong'*:

$$[| A = B; g \in B \rightarrow \text{carrier } G;$$

$$!!i. i \in B ==> f i = g i |] ==> \text{finprod } G f A = \text{finprod } G g B$$

$$\langle \text{proof} \rangle$$

lemma (in *comm-monoid*) *finprod-cong*:

$$[| A = B; f \in B \rightarrow \text{carrier } G = \text{True};$$

$$!!i. i \in B ==> f i = g i |] ==> \text{finprod } G f A = \text{finprod } G g B$$

$$\langle \text{proof} \rangle$$

Usually, if this rule causes a failed congruence proof error, the reason is that the premise $g \in B \rightarrow \text{carrier } G$ cannot be shown. Adding *Pi-def* to the simpset is often useful. For this reason, *comm-monoid.finprod-cong* is not added to the simpset by default.

declare *funcsetI* [rule del]
funcset-mem [rule del]

lemma (in *comm-monoid*) *finprod-0* [simp]:

$$f \in \{0::\text{nat}\} \rightarrow \text{carrier } G ==> \text{finprod } G f \{..0\} = f 0$$

$$\langle \text{proof} \rangle$$

lemma (in *comm-monoid*) *finprod-Suc* [simp]:

$$f \in \{.. \text{Suc } n\} \rightarrow \text{carrier } G ==>$$

$$\text{finprod } G f \{.. \text{Suc } n\} = (f (\text{Suc } n) \otimes \text{finprod } G f \{..n\})$$

$$\langle \text{proof} \rangle$$

lemma (in *comm-monoid*) *finprod-Suc2*:

$$f \in \{.. \text{Suc } n\} \rightarrow \text{carrier } G ==>$$

$$\text{finprod } G f \{.. \text{Suc } n\} = (\text{finprod } G (\%i. f (\text{Suc } i)) \{..n\} \otimes f 0)$$

$$\langle \text{proof} \rangle$$

lemma (in *comm-monoid*) *finprod-mult* [simp]:

$$[| f \in \{..n\} \rightarrow \text{carrier } G; g \in \{..n\} \rightarrow \text{carrier } G |] ==>$$

$$\text{finprod } G (\%i. f i \otimes g i) \{..n::\text{nat}\} =$$

$$\text{finprod } G f \{..n\} \otimes \text{finprod } G g \{..n\}$$

$$\langle \text{proof} \rangle$$

end

5 Exponent: The Combinatorial Argument Underlying the First Sylow Theorem

theory *Exponent* **imports** *Main Primes* **begin**

constdefs

exponent :: $[nat, nat] \Rightarrow nat$
exponent $p\ s ==$ if prime p then (*GREATEST* $r.$ $p^r \text{ dvd } s$) else 0

5.1 Prime Theorems

lemma *prime-imp-one-less*: prime $p \Rightarrow Suc\ 0 < p$
 $\langle proof \rangle$

lemma *prime-iff*:
 $(prime\ p) = (Suc\ 0 < p \ \& \ (\forall a\ b. p \text{ dvd } a*b \longrightarrow (p \text{ dvd } a) \mid (p \text{ dvd } b)))$
 $\langle proof \rangle$

lemma *zero-less-prime-power*: prime $p \Rightarrow 0 < p^a$
 $\langle proof \rangle$

lemma *zero-less-card-empty*: $[\mid finite\ S; S \neq \{\} \mid] \Rightarrow 0 < card(S)$
 $\langle proof \rangle$

lemma *prime-dvd-cases*:
 $[\mid p*k \text{ dvd } m*n; prime\ p \mid] \Rightarrow (\exists x. k \text{ dvd } x*n \ \& \ m = p*x) \mid (\exists y. k \text{ dvd } m*y \ \& \ n = p*y)$
 $\langle proof \rangle$

lemma *prime-power-dvd-cases* [*rule-format* (*no-asm*)]: prime p
 $\Rightarrow \forall m\ n. p^c \text{ dvd } m*n \longrightarrow$
 $(\forall a\ b. a+b = Suc\ c \longrightarrow p^a \text{ dvd } m \mid p^b \text{ dvd } n)$
 $\langle proof \rangle$

lemma *div-combine*:
 $[\mid prime\ p; \sim (p^a \text{ dvd } n); p^{a+r} \text{ dvd } n*k \mid] \Rightarrow p^a \text{ dvd } k$
 $\langle proof \rangle$

lemma *Suc-le-power*: $Suc\ 0 < p \Rightarrow Suc\ n \leq p^n$
 $\langle proof \rangle$

lemma *power-dvd-bound*: $[p^n \text{ dvd } a; Suc\ 0 < p; 0 < a] \Rightarrow n < a$

$\langle proof \rangle$

5.2 Exponent Theorems

lemma *exponent-ge* [rule-format]:

$\llbracket p \wedge k \text{ dvd } n; \text{ prime } p; 0 < n \rrbracket \implies k \leq \text{exponent } p \ n$
 $\langle proof \rangle$

lemma *power-exponent-dvd*: $0 < s \implies (p \wedge \text{exponent } p \ s) \text{ dvd } s$
 $\langle proof \rangle$

lemma *power-Suc-exponent-Not-dvd*:

$\llbracket (p * p \wedge \text{exponent } p \ s) \text{ dvd } s; \text{ prime } p \rrbracket \implies s = 0$
 $\langle proof \rangle$

lemma *exponent-power-eq* [simp]: $\text{prime } p \implies \text{exponent } p \ (p \wedge a) = a$
 $\langle proof \rangle$

lemma *exponent-equalityI*:

$!r::\text{nat. } (p \wedge r \text{ dvd } a) = (p \wedge r \text{ dvd } b) \implies \text{exponent } p \ a = \text{exponent } p \ b$
 $\langle proof \rangle$

lemma *exponent-eq-0* [simp]: $\neg \text{prime } p \implies \text{exponent } p \ s = 0$
 $\langle proof \rangle$

lemma *exponent-mult-add1*:

$\llbracket 0 < a; 0 < b \rrbracket$
 $\implies (\text{exponent } p \ a) + (\text{exponent } p \ b) \leq \text{exponent } p \ (a * b)$
 $\langle proof \rangle$

lemma *exponent-mult-add2*: $\llbracket 0 < a; 0 < b \rrbracket$

$\implies \text{exponent } p \ (a * b) \leq (\text{exponent } p \ a) + (\text{exponent } p \ b)$
 $\langle proof \rangle$

lemma *exponent-mult-add*:

$\llbracket 0 < a; 0 < b \rrbracket$
 $\implies \text{exponent } p \ (a * b) = (\text{exponent } p \ a) + (\text{exponent } p \ b)$
 $\langle proof \rangle$

lemma *not-divides-exponent-0*: $\sim (p \text{ dvd } n) \implies \text{exponent } p \ n = 0$
 $\langle proof \rangle$

lemma *exponent-1-eq-0* [simp]: $\text{exponent } p \ (\text{Suc } 0) = 0$
 $\langle proof \rangle$

5.3 Lemmas for the Main Combinatorial Argument

lemma *le-extend-mult*: $[| 0 < c; a \leq b |] \implies a \leq b * (c::nat)$
 $\langle proof \rangle$

lemma *p-fac-forw-lemma*:
 $[| 0 < (m::nat); 0 < k; k < p^a; (p^r) \text{ dvd } (p^a)*m - k |] \implies r \leq a$
 $\langle proof \rangle$

lemma *p-fac-forw*: $[| 0 < (m::nat); 0 < k; k < p^a; (p^r) \text{ dvd } (p^a)*m - k |]$
 $\implies (p^r) \text{ dvd } (p^a) - k$
 $\langle proof \rangle$

lemma *r-le-a-forw*: $[| 0 < (k::nat); k < p^a; 0 < p; (p^r) \text{ dvd } (p^a) - k |] \implies$
 $r \leq a$
 $\langle proof \rangle$

lemma *p-fac-backw*: $[| 0 < m; 0 < k; 0 < (p::nat); k < p^a; (p^r) \text{ dvd } p^a - k |]$
 $\implies (p^r) \text{ dvd } (p^a)*m - k$
 $\langle proof \rangle$

lemma *exponent-p-a-m-k-equation*: $[| 0 < m; 0 < k; 0 < (p::nat); k < p^a |]$
 $\implies \text{exponent } p (p^a * m - k) = \text{exponent } p (p^a - k)$
 $\langle proof \rangle$

Suc rules that we have to delete from the simpset

lemmas *bad-Sucs = binomial-Suc-Suc mult-Suc mult-Suc-right*

lemma *p-not-div-choose-lemma* [rule-format]:
 $[| \forall i. \text{Suc } i < K \longrightarrow \text{exponent } p (\text{Suc } i) = \text{exponent } p (\text{Suc}(j+i)) |]$
 $\implies k < K \longrightarrow \text{exponent } p ((j+k) \text{ choose } k) = 0$
 $\langle proof \rangle$

lemma *p-not-div-choose*:
 $[| k < K; k \leq n;$
 $\forall j. 0 < j \ \& \ j < K \longrightarrow \text{exponent } p (n - k + (K - j)) = \text{exponent } p (K -$
 $j) |]$
 $\implies \text{exponent } p (n \text{ choose } k) = 0$
 $\langle proof \rangle$

lemma *const-p-fac-right*:
 $0 < m \implies \text{exponent } p ((p^a * m - \text{Suc } 0) \text{ choose } (p^a - \text{Suc } 0)) = 0$
 $\langle proof \rangle$

lemma *const-p-fac*:

$0 < m \implies \text{exponent } p \ ((p \hat{a}) * m) \text{ choose } p \hat{a} = \text{exponent } p \ m$
 $\langle \text{proof} \rangle$

end

6 Coset: Cosets and Quotient Groups

theory *Coset* **imports** *Group Exponent* **begin**

constdefs (**structure** *G*)

r-coset :: $[-, 'a \text{ set}, 'a] \Rightarrow 'a \text{ set}$ (**infixl** $\#>_1$ 60)
 $H \#> a \equiv \bigcup_{h \in H}. \{h \otimes a\}$

l-coset :: $[-, 'a, 'a \text{ set}] \Rightarrow 'a \text{ set}$ (**infixl** $<\#_1$ 60)
 $a <\# H \equiv \bigcup_{h \in H}. \{a \otimes h\}$

RCOSETS :: $[-, 'a \text{ set}] \Rightarrow ('a \text{ set}) \text{ set}$ (*rcosets1* - [81] 80)
 $\text{rcosets } H \equiv \bigcup_{a \in \text{carrier } G}. \{H \#> a\}$

set-mult :: $[-, 'a \text{ set}, 'a \text{ set}] \Rightarrow 'a \text{ set}$ (**infixl** $<\#>_1$ 60)
 $H <\#> K \equiv \bigcup_{h \in H}. \bigcup_{k \in K}. \{h \otimes k\}$

SET-INV :: $[-, 'a \text{ set}] \Rightarrow 'a \text{ set}$ (*set'-inv1* - [81] 80)
 $\text{set-inv } H \equiv \bigcup_{h \in H}. \{\text{inv } h\}$

locale *normal* = *subgroup* + *group* +

assumes *coset-eq*: $(\forall x \in \text{carrier } G. H \#> x = x <\# H)$

syntax

$@normal :: ['a \text{ set}, ('a, 'b) \text{ monoid-scheme}] \Rightarrow \text{bool}$ (**infixl** \triangleleft 60)

translations

$H \triangleleft G == \text{normal } H \ G$

6.1 Basic Properties of Cosets

lemma (**in** *group*) *coset-mult-assoc*:

$[M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G]$
 $\implies (M \#> g) \#> h = M \#> (g \otimes h)$

$\langle \text{proof} \rangle$

lemma (**in** *group*) *coset-mult-one* [*simp*]: $M \subseteq \text{carrier } G \implies M \#> \mathbf{1} = M$

$\langle \text{proof} \rangle$

lemma (**in** *group*) *coset-mult-inv1*:

$$\begin{aligned} & \llbracket M \#> (x \otimes (\text{inv } y)) = M; \ x \in \text{carrier } G; \ y \in \text{carrier } G; \\ & \quad M \subseteq \text{carrier } G \rrbracket \implies M \#> x = M \#> y \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in group) *coset-mult-inv2*:

$$\begin{aligned} & \llbracket M \#> x = M \#> y; \ x \in \text{carrier } G; \ y \in \text{carrier } G; \ M \subseteq \text{carrier } G \rrbracket \\ & \implies M \#> (x \otimes (\text{inv } y)) = M \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in group) *coset-join1*:

$$\llbracket H \#> x = H; \ x \in \text{carrier } G; \ \text{subgroup } H \ G \rrbracket \implies x \in H$$

$$\langle \text{proof} \rangle$$

lemma (in group) *solve-equation*:

$$\llbracket \text{subgroup } H \ G; \ x \in H; \ y \in H \rrbracket \implies \exists h \in H. \ y = h \otimes x$$

$$\langle \text{proof} \rangle$$

lemma (in group) *repr-independence*:

$$\llbracket y \in H \#> x; \ x \in \text{carrier } G; \ \text{subgroup } H \ G \rrbracket \implies H \#> x = H \#> y$$

$$\langle \text{proof} \rangle$$

lemma (in group) *coset-join2*:

$$\llbracket x \in \text{carrier } G; \ \text{subgroup } H \ G; \ x \in H \rrbracket \implies H \#> x = H$$
 — Alternative proof is to put $x = \mathbf{1}$ in *repr-independence*.

$$\langle \text{proof} \rangle$$

lemma (in group) *r-coset-subset-G*:

$$\llbracket H \subseteq \text{carrier } G; \ x \in \text{carrier } G \rrbracket \implies H \#> x \subseteq \text{carrier } G$$

$$\langle \text{proof} \rangle$$

lemma (in group) *rcosI*:

$$\llbracket h \in H; \ H \subseteq \text{carrier } G; \ x \in \text{carrier } G \rrbracket \implies h \otimes x \in H \#> x$$

$$\langle \text{proof} \rangle$$

lemma (in group) *rcosetsI*:

$$\llbracket H \subseteq \text{carrier } G; \ x \in \text{carrier } G \rrbracket \implies H \#> x \in \text{rcosets } H$$

$$\langle \text{proof} \rangle$$

Really needed?

lemma (in group) *transpose-inv*:

$$\begin{aligned} & \llbracket x \otimes y = z; \ x \in \text{carrier } G; \ y \in \text{carrier } G; \ z \in \text{carrier } G \rrbracket \\ & \implies (\text{inv } x) \otimes z = y \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in group) *rcos-self*: $\llbracket x \in \text{carrier } G; \ \text{subgroup } H \ G \rrbracket \implies x \in H \#> x$

$$\langle \text{proof} \rangle$$

6.2 Normal subgroups

lemma *normal-imp-subgroup*: $H \triangleleft G \implies \text{subgroup } H \ G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *normalI*:
 $\text{subgroup } H \ G \implies (\forall x \in \text{carrier } G. H \#> x = x <\# H) \implies H \triangleleft G$
 $\langle \text{proof} \rangle$

lemma (*in normal*) *inv-op-closed1*:
 $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies (\text{inv } x) \otimes h \otimes x \in H$
 $\langle \text{proof} \rangle$

lemma (*in normal*) *inv-op-closed2*:
 $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies x \otimes h \otimes (\text{inv } x) \in H$
 $\langle \text{proof} \rangle$

Alternative characterization of normal subgroups

lemma (*in group*) *normal-inv-iff*:
 $(N \triangleleft G) =$
 $(\text{subgroup } N \ G \ \& \ (\forall x \in \text{carrier } G. \forall h \in N. x \otimes h \otimes (\text{inv } x) \in N))$
 $(\text{is } - = ?\text{rhs})$
 $\langle \text{proof} \rangle$

6.3 More Properties of Cosets

lemma (*in group*) *lcos-m-assoc*:
 $\llbracket M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G \rrbracket$
 $\implies g <\# (h <\# M) = (g \otimes h) <\# M$
 $\langle \text{proof} \rangle$

lemma (*in group*) *lcos-mult-one*: $M \subseteq \text{carrier } G \implies \mathbf{1} <\# M = M$
 $\langle \text{proof} \rangle$

lemma (*in group*) *l-coset-subset-G*:
 $\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies x <\# H \subseteq \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *l-coset-swap*:
 $\llbracket y \in x <\# H; x \in \text{carrier } G; \text{subgroup } H \ G \rrbracket \implies x \in y <\# H$
 $\langle \text{proof} \rangle$

lemma (*in group*) *l-coset-carrier*:
 $\llbracket y \in x <\# H; x \in \text{carrier } G; \text{subgroup } H \ G \rrbracket \implies y \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *l-repr-imp-subset*:
assumes $y: y \in x <\# H$ **and** $x: x \in \text{carrier } G$ **and** $sb: \text{subgroup } H \ G$
shows $y <\# H \subseteq x <\# H$
 $\langle \text{proof} \rangle$

lemma (in group) *l-repr-independence*:

assumes $y: y \in x <\# H$ and $x: x \in \text{carrier } G$ and $sb: \text{subgroup } H G$
 shows $x <\# H = y <\# H$

$\langle \text{proof} \rangle$

lemma (in group) *setmult-subset-G*:

$\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G \rrbracket \implies H <\#> K \subseteq \text{carrier } G$

$\langle \text{proof} \rangle$

lemma (in group) *subgroup-mult-id*: $\text{subgroup } H G \implies H <\#> H = H$

$\langle \text{proof} \rangle$

6.3.1 Set of inverses of an *r*-coset.

lemma (in normal) *rcos-inv*:

assumes $x: x \in \text{carrier } G$
 shows $\text{set-inv } (H \#> x) = H \#> (\text{inv } x)$

$\langle \text{proof} \rangle$

6.3.2 Theorems for $<\#>$ with $\#>$ or $<\#$.

lemma (in group) *setmult-rcos-assoc*:

$\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$
 $\implies H <\#> (K \#> x) = (H <\#> K) \#> x$

$\langle \text{proof} \rangle$

lemma (in group) *rcos-assoc-lcos*:

$\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$
 $\implies (H \#> x) <\#> K = H <\#> (x <\# K)$

$\langle \text{proof} \rangle$

lemma (in normal) *rcos-mult-step1*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H \#> x) <\#> (H \#> y) = (H <\#> (x <\# H)) \#> y$

$\langle \text{proof} \rangle$

lemma (in normal) *rcos-mult-step2*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H <\#> (x <\# H)) \#> y = (H <\#> (H \#> x)) \#> y$

$\langle \text{proof} \rangle$

lemma (in normal) *rcos-mult-step3*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H <\#> (H \#> x)) \#> y = H \#> (x \otimes y)$

$\langle \text{proof} \rangle$

lemma (in normal) *rcos-sum*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H \#> x) <\#> (H \#> y) = H \#> (x \otimes y)$

lemma (in normal) *rcosets-mult-eq*: $M \in \text{rcosets } H \implies H <\#> M = M$
 — generalizes *subgroup-mult-id*
<proof>

$$\begin{aligned} & \text{constdefs (structure } G) \\ & \quad r\text{-congruent} :: [('a, 'b) \text{monoid-scheme}, 'a \text{ set}] \Rightarrow ('a * 'a) \text{set} \\ & \quad \quad (rcong_1 -) \\ & \quad rcong \ H \equiv \{(x, y). x \in \text{carrier } G \ \& \ y \in \text{carrier } G \ \& \ \text{inv } x \otimes y \in H\} \end{aligned}$$

Equivalence classes of $rcong$ correspond to left cosets. Was there a mistake in the definitions? I'd have expected them to correspond to right cosets.

6.3.4 Two distinct right cosets are disjoint

lemma (in *group*) *rcos-disjoint*:
includes *subgroup* $H\ G$
shows $\llbracket a \in \text{rcosets } H; b \in \text{rcosets } H; a \neq b \rrbracket \implies a \cap b = \{\}$
<proof>

```

constdefs
  order :: ('a, 'b) monoid-scheme  $\Rightarrow$  nat
  order S  $\equiv$  card (carrier S)

```

lemma (in group) rcos-self:

includes *subgroup*
shows $x \in \text{carrier } G \implies x \in H \#> x$
 $\langle \text{proof} \rangle$

lemma (**in** *group*) *rcosets-part-G*:
includes *subgroup*
shows $\bigcup (\text{rcosets } H) = \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *group*) *cosets-finite*:
 $\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite } (\text{carrier } G) \rrbracket \implies \text{finite } c$
 $\langle \text{proof} \rangle$

The next two lemmas support the proof of *card-cosets-equal*.

lemma (**in** *group*) *inj-on-f*:
 $\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj-on } (\lambda y. y \otimes \text{inv } a) (H \#> a)$
 $\langle \text{proof} \rangle$

lemma (**in** *group*) *inj-on-g*:
 $\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj-on } (\lambda y. y \otimes a) H$
 $\langle \text{proof} \rangle$

lemma (**in** *group*) *card-cosets-equal*:
 $\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite}(\text{carrier } G) \rrbracket$
 $\implies \text{card } c = \text{card } H$
 $\langle \text{proof} \rangle$

lemma (**in** *group*) *rcosets-subset-PowG*:
 $\text{subgroup } H \ G \implies \text{rcosets } H \subseteq \text{Pow}(\text{carrier } G)$
 $\langle \text{proof} \rangle$

theorem (**in** *group*) *lagrange*:
 $\llbracket \text{finite}(\text{carrier } G); \text{subgroup } H \ G \rrbracket$
 $\implies \text{card}(\text{rcosets } H) * \text{card}(H) = \text{order}(G)$
 $\langle \text{proof} \rangle$

6.5 Quotient Groups: Factorization of a Group

constdefs

FactGroup :: $[(\text{'a}, \text{'b}) \text{ monoid-scheme}, \text{'a set}] \Rightarrow (\text{'a set}) \text{ monoid}$
 (**infixl** *Mod 65*)
 — Actually defined for groups rather than monoids
 $\text{FactGroup } G \ H \equiv$
 $(\text{carrier} = \text{rcosets}_G \ H, \text{mult} = \text{set-mult } G, \text{one} = H)$

lemma (**in** *normal*) *setmult-closed*:
 $\llbracket K1 \in \text{rcosets } H; K2 \in \text{rcosets } H \rrbracket \implies K1 \#> K2 \in \text{rcosets } H$
 $\langle \text{proof} \rangle$

lemma (in normal) *setinv-closed*:

$K \in \text{rcosets } H \implies \text{set-inv } K \in \text{rcosets } H$
 $\langle \text{proof} \rangle$

lemma (in normal) *rcosets-assoc*:

$\llbracket M1 \in \text{rcosets } H; M2 \in \text{rcosets } H; M3 \in \text{rcosets } H \rrbracket$
 $\implies M1 <\#> M2 <\#> M3 = M1 <\#> (M2 <\#> M3)$
 $\langle \text{proof} \rangle$

lemma (in subgroup) *subgroup-in-rcosets*:

includes group G
shows $H \in \text{rcosets } H$
 $\langle \text{proof} \rangle$

lemma (in normal) *rcosets-inv-mult-group-eq*:

$M \in \text{rcosets } H \implies \text{set-inv } M <\#> M = H$
 $\langle \text{proof} \rangle$

theorem (in normal) *factorgroup-is-group*:

group $(G \text{ Mod } H)$
 $\langle \text{proof} \rangle$

lemma *mult-FactGroup [simp]*: $X \otimes_{(G \text{ Mod } H)} X' = X <\#>_G X'$
 $\langle \text{proof} \rangle$

lemma (in normal) *inv-FactGroup*:

$X \in \text{carrier } (G \text{ Mod } H) \implies \text{inv}_{G \text{ Mod } H} X = \text{set-inv } X$
 $\langle \text{proof} \rangle$

The coset map is a homomorphism from G to the quotient group $G \text{ Mod } H$

lemma (in normal) *r-coset-hom-Mod*:

$(\lambda a. H \#> a) \in \text{hom } G \text{ Mod } H$
 $\langle \text{proof} \rangle$

6.6 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

constdefs

$\text{kernel} :: ('a, 'm) \text{ monoid-scheme} \Rightarrow ('b, 'n) \text{ monoid-scheme} \Rightarrow$
 $('a \Rightarrow 'b) \Rightarrow 'a \text{ set}$
 — the kernel of a homomorphism
 $\text{kernel } G \ H \ h \equiv \{x. x \in \text{carrier } G \ \& \ h \ x = \mathbf{1}_H\}$

lemma (in group-hom) *subgroup-kernel*: *subgroup* $(\text{kernel } G \ H \ h) \ G$
 $\langle \text{proof} \rangle$

The kernel of a homomorphism is a normal subgroup

lemma (in *group-hom*) *normal-kernel*: $(\text{kernel } G \ H \ h) \triangleleft G$
 <proof>

lemma (in *group-hom*) *FactGroup-nonempty*:
 assumes $X: X \in \text{carrier } (G \text{ Mod } \text{kernel } G \ H \ h)$
 shows $X \neq \{\}$
 <proof>

lemma (in *group-hom*) *FactGroup-contents-mem*:
 assumes $X: X \in \text{carrier } (G \text{ Mod } (\text{kernel } G \ H \ h))$
 shows $\text{contents } (h'X) \in \text{carrier } H$
 <proof>

lemma (in *group-hom*) *FactGroup-hom*:
 $(\lambda X. \text{contents } (h'X)) \in \text{hom } (G \text{ Mod } (\text{kernel } G \ H \ h)) \ H$
 <proof>

Lemma for the following injectivity result

lemma (in *group-hom*) *FactGroup-subset*:
 $\llbracket g \in \text{carrier } G; g' \in \text{carrier } G; h \ g = h \ g' \rrbracket$
 $\implies \text{kernel } G \ H \ h \ \#> \ g \subseteq \text{kernel } G \ H \ h \ \#> \ g'$
 <proof>

lemma (in *group-hom*) *FactGroup-inj-on*:
 $\text{inj-on } (\lambda X. \text{contents } (h'X)) \ (\text{carrier } (G \text{ Mod } \text{kernel } G \ H \ h))$
 <proof>

If the homomorphism h is onto H , then so is the homomorphism from the quotient group

lemma (in *group-hom*) *FactGroup-onto*:
 assumes $h: h' \text{ carrier } G = \text{carrier } H$
 shows $(\lambda X. \text{contents } (h'X))' \text{ carrier } (G \text{ Mod } \text{kernel } G \ H \ h) = \text{carrier } H$
 <proof>

If h is a homomorphism from G onto H , then the quotient group $G \text{ Mod } \text{kernel } G \ H \ h$ is isomorphic to H .

theorem (in *group-hom*) *FactGroup-iso*:
 $h' \text{ carrier } G = \text{carrier } H$
 $\implies (\lambda X. \text{contents } (h'X)) \in (G \text{ Mod } (\text{kernel } G \ H \ h)) \cong H$
 <proof>

end

7 Sylow: Sylow’s theorem

theory Sylow imports Coset begin

See also [3].

The combinatorial argument is in theory Exponent

locale sylow = group +
fixes p **and** a **and** m **and** $calM$ **and** $RelM$
assumes $prime-p$: $prime\ p$
and $order-G$: $order(G) = (p^a) * m$
and $finite-G$ [iff]: $finite\ (carrier\ G)$
defines $calM == \{s. s \subseteq carrier(G) \ \& \ card(s) = p^a\}$
and $RelM == \{(N1, N2). N1 \in calM \ \& \ N2 \in calM \ \& \$
 $(\exists g \in carrier(G). N1 = (N2 \#> g)) \}$

lemma (**in sylow**) $RelM$ -refl: $refl\ calM\ RelM$
 $\langle proof \rangle$

lemma (**in sylow**) $RelM$ -sym: $sym\ RelM$
 $\langle proof \rangle$

lemma (**in sylow**) $RelM$ -trans: $trans\ RelM$
 $\langle proof \rangle$

lemma (**in sylow**) $RelM$ -equiv: $equiv\ calM\ RelM$
 $\langle proof \rangle$

lemma (**in sylow**) M -subset- $calM$ -prep: $M' \in calM \ /\ / RelM ==> M' \subseteq calM$
 $\langle proof \rangle$

7.1 Main Part of the Proof

locale sylow-central = sylow +
fixes H **and** $M1$ **and** M
assumes M -in-quot: $M \in calM \ /\ / RelM$
and not -dvd- M : $\sim(p \wedge Suc(exponent\ p\ m) \ dvd\ card(M))$
and $M1$ -in- M : $M1 \in M$
defines $H == \{g. g \in carrier\ G \ \& \ M1 \ \#> g = M1\}$

lemma (**in sylow-central**) M -subset- $calM$: $M \subseteq calM$
 $\langle proof \rangle$

lemma (**in sylow-central**) $card$ - $M1$: $card(M1) = p^a$
 $\langle proof \rangle$

lemma $card$ -nonempty: $0 < card(S) ==> S \neq \{\}$
 $\langle proof \rangle$

lemma (**in sylow-central**) $exists$ - x -in- $M1$: $\exists x. x \in M1$

$\langle \text{proof} \rangle$

lemma (in *sylow-central*) *M1-subset-G* [simp]: $M1 \subseteq \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *M1-inj-H*: $\exists f \in H \rightarrow M1. \text{inj-on } f \ H$
 $\langle \text{proof} \rangle$

7.2 Discharging the Assumptions of *sylow-central*

lemma (in *sylow*) *EmptyNotInEquivSet*: $\{\} \notin \text{calM} // \text{RelM}$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *existsM1inM*: $M \in \text{calM} // \text{RelM} \implies \exists M1. M1 \in M$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *zero-less-o-G*: $0 < \text{order}(G)$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *zero-less-m*: $0 < m$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *card-calM*: $\text{card}(\text{calM}) = (p^a) * m \text{ choose } p^a$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *zero-less-card-calM*: $0 < \text{card calM}$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *max-p-div-calM*:
 $\sim (p \wedge \text{Suc}(\text{exponent } p \ m) \text{ dvd } \text{card}(\text{calM}))$
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *finite-calM*: *finite calM*
 $\langle \text{proof} \rangle$

lemma (in *sylow*) *lemma-A1*:
 $\exists M \in \text{calM} // \text{RelM}. \sim (p \wedge \text{Suc}(\text{exponent } p \ m) \text{ dvd } \text{card}(M))$
 $\langle \text{proof} \rangle$

7.2.1 Introduction and Destruct Rules for *H*

lemma (in *sylow-central*) *H-I*: $[|g \in \text{carrier } G; M1 \#> g = M1|] \implies g \in H$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *H-into-carrier-G*: $x \in H \implies x \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *in-H-imp-eq*: $g : H \implies M1 \#> g = M1$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *H-m-closed*: $[\mid x \in H; y \in H \mid] \implies x \otimes y \in H$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *H-not-empty*: $H \neq \{\}$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *H-is-subgroup*: *subgroup* $H \ G$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *rcosetGM1g-subset-G*:
 $[\mid g \in \text{carrier } G; x \in M1 \ \#> \ g \mid] \implies x \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *finite-M1*: *finite* $M1$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *finite-rcosetGM1g*: $g \in \text{carrier } G \implies \text{finite } (M1 \ \#> \ g)$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *M1-cardeq-rcosetGM1g*:
 $g \in \text{carrier } G \implies \text{card}(M1 \ \#> \ g) = \text{card}(M1)$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *M1-RelM-rcosetGM1g*:
 $g \in \text{carrier } G \implies (M1, M1 \ \#> \ g) \in \text{RelM}$
 $\langle \text{proof} \rangle$

7.3 Equal Cardinalities of M and the Set of Cosets

Injectons between M and $\text{rcosets}_G H$ show that their cardinalities are equal.

lemma *ElemClassEquiv*:
 $[\mid \text{equiv } A \ r; C \in A \ /\ / \ r \mid] \implies \forall x \in C. \forall y \in C. (x, y) \in r$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *M-elem-map*:
 $M2 \in M \implies \exists g. g \in \text{carrier } G \ \& \ M1 \ \#> \ g = M2$
 $\langle \text{proof} \rangle$

lemmas (in *sylow-central*) *M-elem-map-carrier* =
 $M\text{-elem-map } [\text{THEN someI-ex}, \text{ THEN conjunct1}]$

lemmas (in *sylow-central*) *M-elem-map-eq* =
 $M\text{-elem-map } [\text{THEN someI-ex}, \text{ THEN conjunct2}]$

lemma (in *sylow-central*) *M-funcset-rcosets-H*:
 $(\%x:M. H \ \#> \ (\text{SOME } g. g \in \text{carrier } G \ \& \ M1 \ \#> \ g = x)) \in M \rightarrow \text{rcosets } H$
 $\langle \text{proof} \rangle$

lemma (in *sylow-central*) *inj-M-GmodH*: $\exists f \in M \rightarrow \text{rcosets } H. \text{inj-on } f \ M$
 <proof>

7.3.1 The opposite injection

lemma (in *sylow-central*) *H-elem-map*:
 $H1 \in \text{rcosets } H \implies \exists g. g \in \text{carrier } G \ \& \ H \ \#> \ g = H1$
 <proof>

lemmas (in *sylow-central*) *H-elem-map-carrier* =
 $H\text{-elem-map } [THEN \text{ someI-ex}, THEN \text{ conjunct1}]$

lemmas (in *sylow-central*) *H-elem-map-eq* =
 $H\text{-elem-map } [THEN \text{ someI-ex}, THEN \text{ conjunct2}]$

lemma *EquivElemClass*:
 $[|equiv \ A \ r; \ M \in A//r; \ M1 \in M; \ (M1, M2) \in r \ |] \implies M2 \in M$
 <proof>

lemma (in *sylow-central*) *rcosets-H-funcset-M*:
 $(\lambda C \in \text{rcosets } H. M1 \ \#> \ (@g. g \in \text{carrier } G \wedge H \ \#> \ g = C)) \in \text{rcosets } H \rightarrow M$
 <proof>

close to a duplicate of *inj-M-GmodH*

lemma (in *sylow-central*) *inj-GmodH-M*:
 $\exists g \in \text{rcosets } H \rightarrow M. \text{inj-on } g \ (\text{rcosets } H)$
 <proof>

lemma (in *sylow-central*) *calM-subset-PowG*: $\text{calM} \subseteq \text{Pow}(\text{carrier } G)$
 <proof>

lemma (in *sylow-central*) *finite-M*: *finite* M
 <proof>

lemma (in *sylow-central*) *cardMeqIndexH*: $\text{card}(M) = \text{card}(\text{rcosets } H)$
 <proof>

lemma (in *sylow-central*) *index-lem*: $\text{card}(M) * \text{card}(H) = \text{order}(G)$
 <proof>

lemma (in *sylow-central*) *lemma-leq1*: $p^a \leq \text{card}(H)$
 <proof>

lemma (in *sylow-central*) *lemma-leq2*: $\text{card}(H) \leq p^a$
 <proof>

lemma (in *syLOW-central*) *card-H-eq*: $\text{card}(H) = p^a$
 ⟨*proof*⟩

lemma (in *syLOW*) *syLOW-thm*: $\exists H. \text{subgroup } H \ G \ \& \ \text{card}(H) = p^a$
 ⟨*proof*⟩

Needed because the locale’s automatic definition refers to *semigroup* G and *group-axioms* G rather than simply to *group* G .

lemma *syLOW-eq*: $\text{syLOW } G \ p \ a \ m = (\text{group } G \ \& \ \text{syLOW-axioms } G \ p \ a \ m)$
 ⟨*proof*⟩

theorem *syLOW-thm*:
 $[[\text{prime } p; \text{group}(G); \text{order}(G) = (p^a) * m; \text{finite } (\text{carrier } G)]]$
 $\implies \exists H. \text{subgroup } H \ G \ \& \ \text{card}(H) = p^a$
 ⟨*proof*⟩

end

8 Bij: Bijections of a Set, Permutation Groups, Automorphism Groups

theory *Bij* imports *Group* begin

constdefs

Bij :: $'a \text{ set} \Rightarrow ('a \Rightarrow 'a) \text{ set}$
 — Only extensional functions, since otherwise we get too many.
Bij $S \equiv \text{extensional } S \cap \{f. \text{bij-betw } f \ S \ S\}$

BijGroup :: $'a \text{ set} \Rightarrow ('a \Rightarrow 'a) \text{ monoid}$
BijGroup $S \equiv$
 ($\text{carrier} = \text{Bij } S,$
 $\text{mult} = \lambda g \in \text{Bij } S. \lambda f \in \text{Bij } S. \text{compose } S \ g \ f,$
 $\text{one} = \lambda x \in S. x$)

declare *Id-compose* [*simp*] *compose-Id* [*simp*]

lemma *Bij-imp-extensional*: $f \in \text{Bij } S \implies f \in \text{extensional } S$
 ⟨*proof*⟩

lemma *Bij-imp-funcset*: $f \in \text{Bij } S \implies f \in S \rightarrow S$
 ⟨*proof*⟩

8.1 Bijections Form a Group

lemma *restrict-Inv-Bij*: $f \in \text{Bij } S \implies (\lambda x \in S. (\text{Inv } S f) x) \in \text{Bij } S$
 $\langle \text{proof} \rangle$

lemma *id-Bij*: $(\lambda x \in S. x) \in \text{Bij } S$
 $\langle \text{proof} \rangle$

lemma *compose-Bij*: $\llbracket x \in \text{Bij } S; y \in \text{Bij } S \rrbracket \implies \text{compose } S x y \in \text{Bij } S$
 $\langle \text{proof} \rangle$

lemma *Bij-compose-restrict-eq*:
 $f \in \text{Bij } S \implies \text{compose } S (\text{restrict } (\text{Inv } S f) S) f = (\lambda x \in S. x)$
 $\langle \text{proof} \rangle$

theorem *group-BijGroup*: $\text{group } (\text{BijGroup } S)$
 $\langle \text{proof} \rangle$

8.2 Automorphisms Form a Group

lemma *Bij-Inv-mem*: $\llbracket f \in \text{Bij } S; x \in S \rrbracket \implies \text{Inv } S f x \in S$
 $\langle \text{proof} \rangle$

lemma *Bij-Inv-lemma*:
assumes *eq*: $\bigwedge x y. \llbracket x \in S; y \in S \rrbracket \implies h(g x y) = g (h x) (h y)$
shows $\llbracket h \in \text{Bij } S; g \in S \rightarrow S \rightarrow S; x \in S; y \in S \rrbracket$
 $\implies \text{Inv } S h (g x y) = g (\text{Inv } S h x) (\text{Inv } S h y)$
 $\langle \text{proof} \rangle$

constdefs

auto :: $('a, 'b) \text{ monoid-scheme} \Rightarrow ('a \Rightarrow 'a) \text{ set}$
auto *G* $\equiv \text{hom } G G \cap \text{Bij } (\text{carrier } G)$

AutoGroup :: $('a, 'c) \text{ monoid-scheme} \Rightarrow ('a \Rightarrow 'a) \text{ monoid}$
AutoGroup *G* $\equiv \text{BijGroup } (\text{carrier } G) \llbracket \text{carrier} := \text{auto } G \rrbracket$

lemma (*in group*) *id-in-auto*: $(\lambda x \in \text{carrier } G. x) \in \text{auto } G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *mult-funcset*: $\text{mult } G \in \text{carrier } G \rightarrow \text{carrier } G \rightarrow \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (*in group*) *restrict-Inv-hom*:
 $\llbracket h \in \text{hom } G G; h \in \text{Bij } (\text{carrier } G) \rrbracket$
 $\implies \text{restrict } (\text{Inv } (\text{carrier } G) h) (\text{carrier } G) \in \text{hom } G G$
 $\langle \text{proof} \rangle$

lemma *inv-BijGroup*:
 $f \in \text{Bij } S \implies m\text{-inv } (\text{BijGroup } S) f = (\lambda x \in S. (\text{Inv } S f) x)$

<proof>

lemma (in group) subgroup-auto:
 subgroup (auto G) (BijGroup (carrier G))
<proof>

theorem (in group) AutoGroup: group (AutoGroup G)
<proof>

end

9 CRing: Abelian Groups

theory CRing imports FiniteProduct
uses (ringsimp.ML) **begin**

record 'a ring = 'a monoid +
 zero :: 'a (0₁)
 add :: ['a, 'a] => 'a (infixl \oplus 65)

Derived operations.

constdefs (structure R)
 a-inv :: [('a, 'm) ring-scheme, 'a] => 'a (\ominus 1 - [81] 80)
 a-inv R == m-inv (| carrier = carrier R, mult = add R, one = zero R |)

 minus :: [('a, 'm) ring-scheme, 'a, 'a] => 'a (infixl \ominus 1 65)
 [| x \in carrier R; y \in carrier R |] ==> x \ominus y == x \oplus (\ominus y)

locale abelian-monoid = struct G +
 assumes a-comm-monoid:
 comm-monoid (| carrier = carrier G, mult = add G, one = zero G |)

The following definition is redundant but simple to use.

locale abelian-group = abelian-monoid +
 assumes a-comm-group:
 comm-group (| carrier = carrier G, mult = add G, one = zero G |)

9.1 Basic Properties

lemma abelian-monoidI:
 includes struct R
 assumes a-closed:
 !!x y. [| x \in carrier R; y \in carrier R |] ==> x \oplus y \in carrier R
 and zero-closed: 0 \in carrier R
 and a-assoc:
 !!x y z. [| x \in carrier R; y \in carrier R; z \in carrier R |] ==>
 (x \oplus y) \oplus z = x \oplus (y \oplus z)

and *l-zero*: $\llbracket x. x \in \text{carrier } R \rrbracket \implies \mathbf{0} \oplus x = x$
and *a-comm*:
 $\llbracket x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \implies x \oplus y = y \oplus x$
shows *abelian-monoid* *R*
 $\langle \text{proof} \rangle$

lemma *abelian-groupI*:
includes *struct* *R*
assumes *a-closed*:
 $\llbracket x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \implies x \oplus y \in \text{carrier } R$
and *zero-closed*: $\text{zero } R \in \text{carrier } R$
and *a-assoc*:
 $\llbracket x y z. \llbracket x \in \text{carrier } R; y \in \text{carrier } R; z \in \text{carrier } R \rrbracket \implies$
 $(x \oplus y) \oplus z = x \oplus (y \oplus z)$
and *a-comm*:
 $\llbracket x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \implies x \oplus y = y \oplus x$
and *l-zero*: $\llbracket x. x \in \text{carrier } R \rrbracket \implies \mathbf{0} \oplus x = x$
and *l-inv-ex*: $\llbracket x. x \in \text{carrier } R \rrbracket \implies \text{EX } y : \text{carrier } R. y \oplus x = \mathbf{0}$
shows *abelian-group* *R*
 $\langle \text{proof} \rangle$

lemma (**in** *abelian-monoid*) *a-monoid*:
 $\text{monoid } (\llbracket \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G \rrbracket)$
 $\langle \text{proof} \rangle$

lemma (**in** *abelian-group*) *a-group*:
 $\text{group } (\llbracket \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G \rrbracket)$
 $\langle \text{proof} \rangle$

lemmas *monoid-record-simps* = *partial-object.simps monoid.simps*

lemma (**in** *abelian-monoid*) *a-closed* [*intro*, *simp*]:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \oplus y \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *abelian-monoid*) *zero-closed* [*intro*, *simp*]:
 $\mathbf{0} \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *abelian-group*) *a-inv-closed* [*intro*, *simp*]:
 $x \in \text{carrier } G \implies \ominus x \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *abelian-group*) *minus-closed* [*intro*, *simp*]:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \ominus y \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (**in** *abelian-group*) *a-l-cancel* [*simp*]:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$

$$(x \oplus y = x \oplus z) = (y = z)$$

<proof>

lemma (*in abelian-group*) *a-r-cancel* [*simp*]:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(y \oplus x = z \oplus x) = (y = z)$
<proof>

lemma (*in abelian-monoid*) *a-assoc*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(x \oplus y) \oplus z = x \oplus (y \oplus z)$
<proof>

lemma (*in abelian-monoid*) *l-zero* [*simp*]:
 $x \in \text{carrier } G \implies \mathbf{0} \oplus x = x$
<proof>

lemma (*in abelian-group*) *l-neg*:
 $x \in \text{carrier } G \implies \ominus x \oplus x = \mathbf{0}$
<proof>

lemma (*in abelian-monoid*) *a-comm*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \oplus y = y \oplus x$
<proof>

lemma (*in abelian-monoid*) *a-lcomm*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $x \oplus (y \oplus z) = y \oplus (x \oplus z)$
<proof>

lemma (*in abelian-monoid*) *r-zero* [*simp*]:
 $x \in \text{carrier } G \implies x \oplus \mathbf{0} = x$
<proof>

lemma (*in abelian-group*) *r-neg*:
 $x \in \text{carrier } G \implies x \oplus (\ominus x) = \mathbf{0}$
<proof>

lemma (*in abelian-group*) *minus-zero* [*simp*]:
 $\ominus \mathbf{0} = \mathbf{0}$
<proof>

lemma (*in abelian-group*) *minus-minus* [*simp*]:
 $x \in \text{carrier } G \implies \ominus (\ominus x) = x$
<proof>

lemma (*in abelian-group*) *a-inv-inj*:
 $\text{inj-on } (a\text{-inv } G) (\text{carrier } G)$
<proof>

lemma (in *abelian-group*) *minus-add*:

$$[| x \in \text{carrier } G; y \in \text{carrier } G |] ==> \ominus (x \oplus y) = \ominus x \oplus \ominus y$$
 $\langle \text{proof} \rangle$

lemmas (in *abelian-monoid*) *a-ac = a-assoc a-comm a-lcomm*

9.2 Sums over Finite Sets

This definition makes it easy to lift lemmas from *finprod*.

constdefs

finsum :: $[('b, 'm) \text{ ring-scheme}, 'a ==> 'b, 'a \text{ set}] ==> 'b$
finsum $G f A == \text{finprod } (| \text{carrier} = \text{carrier } G,$
 $\text{mult} = \text{add } G, \text{one} = \text{zero } G |) f A$

syntax

-finsum :: $\text{index} ==> \text{idt} ==> 'a \text{ set} ==> 'b ==> 'b$
 $((\exists \oplus \text{---} \cdot \cdot) [1000, 0, 51, 10] 10)$

syntax (*xsymbols*)

-finsum :: $\text{index} ==> \text{idt} ==> 'a \text{ set} ==> 'b ==> 'b$
 $((\exists \oplus \text{---} \in \cdot \cdot) [1000, 0, 51, 10] 10)$

syntax (*HTML output*)

-finsum :: $\text{index} ==> \text{idt} ==> 'a \text{ set} ==> 'b ==> 'b$
 $((\exists \oplus \text{---} \in \cdot \cdot) [1000, 0, 51, 10] 10)$

translations

$\bigoplus_{i:A}. b == \text{finsum } \diamond_1 (\%i. b) A$
 — Beware of argument permutation!

lemma (in *abelian-monoid*) *finsum-empty* [*simp*]:
 $\text{finsum } G f \{\} = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma (in *abelian-monoid*) *finsum-insert* [*simp*]:
 $[| \text{finite } F; a \notin F; f \in F \rightarrow \text{carrier } G; f a \in \text{carrier } G |]$
 $==> \text{finsum } G f (\text{insert } a F) = f a \oplus \text{finsum } G f F$
 $\langle \text{proof} \rangle$

lemma (in *abelian-monoid*) *finsum-zero* [*simp*]:
 $\text{finite } A ==> (\bigoplus_{i \in A}. \mathbf{0}) = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma (in *abelian-monoid*) *finsum-closed* [*simp*]:
fixes A
assumes *fin*: $\text{finite } A$ **and** $f: f \in A \rightarrow \text{carrier } G$
shows $\text{finsum } G f A \in \text{carrier } G$
 $\langle \text{proof} \rangle$

lemma (in *abelian-monoid*) *finsum-Un-Int*:

$$\begin{aligned} & \llbracket \text{finite } A; \text{finite } B; g \in A \rightarrow \text{carrier } G; g \in B \rightarrow \text{carrier } G \rrbracket ==> \\ & \quad \text{finsum } G \ g \ (A \ \text{Un } B) \oplus \text{finsum } G \ g \ (A \ \text{Int } B) = \\ & \quad \text{finsum } G \ g \ A \oplus \text{finsum } G \ g \ B \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-Un-disjoint*:

$$\begin{aligned} & \llbracket \text{finite } A; \text{finite } B; A \ \text{Int } B = \{\}; \\ & \quad g \in A \rightarrow \text{carrier } G; g \in B \rightarrow \text{carrier } G \rrbracket \\ & ==> \text{finsum } G \ g \ (A \ \text{Un } B) = \text{finsum } G \ g \ A \oplus \text{finsum } G \ g \ B \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-addf*:

$$\begin{aligned} & \llbracket \text{finite } A; f \in A \rightarrow \text{carrier } G; g \in A \rightarrow \text{carrier } G \rrbracket ==> \\ & \quad \text{finsum } G \ (\%x. f \ x \oplus g \ x) \ A = (\text{finsum } G \ f \ A \oplus \text{finsum } G \ g \ A) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-cong'*:

$$\begin{aligned} & \llbracket A = B; g : B \rightarrow \text{carrier } G; \\ & \quad !!i. i : B ==> f \ i = g \ i \rrbracket ==> \text{finsum } G \ f \ A = \text{finsum } G \ g \ B \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-0 [simp]*:

$$\begin{aligned} & f : \{0::\text{nat}\} \rightarrow \text{carrier } G ==> \text{finsum } G \ f \ \{..0\} = f \ 0 \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-Suc [simp]*:

$$\begin{aligned} & f : \{.. \text{Suc } n\} \rightarrow \text{carrier } G ==> \\ & \quad \text{finsum } G \ f \ \{.. \text{Suc } n\} = (f \ (\text{Suc } n) \oplus \text{finsum } G \ f \ \{..n\}) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-Suc2*:

$$\begin{aligned} & f : \{.. \text{Suc } n\} \rightarrow \text{carrier } G ==> \\ & \quad \text{finsum } G \ f \ \{.. \text{Suc } n\} = (\text{finsum } G \ (\%i. f \ (\text{Suc } i)) \ \{..n\} \oplus f \ 0) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-add [simp]*:

$$\begin{aligned} & \llbracket f : \{..n\} \rightarrow \text{carrier } G; g : \{..n\} \rightarrow \text{carrier } G \rrbracket ==> \\ & \quad \text{finsum } G \ (\%i. f \ i \oplus g \ i) \ \{..n::\text{nat}\} = \\ & \quad \text{finsum } G \ f \ \{..n\} \oplus \text{finsum } G \ g \ \{..n\} \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma (in *abelian-monoid*) *finsum-cong*:

$$\begin{aligned} & \llbracket A = B; f : B \rightarrow \text{carrier } G; \\ & \quad !!i. i : B =\text{simp}> f \ i = g \ i \rrbracket ==> \text{finsum } G \ f \ A = \text{finsum } G \ g \ B \\ & \langle \text{proof} \rangle \end{aligned}$$

Usually, if this rule causes a failed congruence proof error, the reason is that the premise $g \in B \rightarrow \text{carrier } G$ cannot be shown. Adding *Pi-def* to the

simpset is often useful.

10 The Algebraic Hierarchy of Rings

10.1 Basic Definitions

```

locale ring = abelian-group R + monoid R +
  assumes l-distr: [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z
  and r-distr: [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y

```

```

locale cring = ring + comm-monoid R

```

```

locale domain = cring +
  assumes one-not-zero [simp]: 1 ~ 0
  and integral: [| a ⊗ b = 0; a ∈ carrier R; b ∈ carrier R |] ==>
    a = 0 | b = 0

```

```

locale field = domain +
  assumes field-Units: Units R = carrier R - {0}

```

10.2 Basic Facts of Rings

```

lemma ringI:
  includes struct R
  assumes abelian-group: abelian-group R
  and monoid: monoid R
  and l-distr: !!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z
  and r-distr: !!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y
  shows ring R
  <proof>

```

```

lemma (in ring) is-abelian-group:
  abelian-group R
  <proof>

```

```

lemma (in ring) is-monoid:
  monoid R
  <proof>

```

```

lemma cringI:
  includes struct R
  assumes abelian-group: abelian-group R
  and comm-monoid: comm-monoid R
  and l-distr: !!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z

```

shows *cring* *R*
 ⟨*proof*⟩

lemma (in *cring*) *is-comm-monoid*:
comm-monoid *R*
 ⟨*proof*⟩

10.3 Normaliser for Rings

lemma (in *abelian-group*) *r-neg2*:
 [| *x* ∈ *carrier* *G*; *y* ∈ *carrier* *G* |] ==> $x \oplus (\ominus x \oplus y) = y$
 ⟨*proof*⟩

lemma (in *abelian-group*) *r-neg1*:
 [| *x* ∈ *carrier* *G*; *y* ∈ *carrier* *G* |] ==> $\ominus x \oplus (x \oplus y) = y$
 ⟨*proof*⟩

The following proofs are from Jacobson, Basic Algebra I, pp. 88–89

lemma (in *ring*) *l-null* [*simp*]:
x ∈ *carrier* *R* ==> $\mathbf{0} \otimes x = \mathbf{0}$
 ⟨*proof*⟩

lemma (in *ring*) *r-null* [*simp*]:
x ∈ *carrier* *R* ==> $x \otimes \mathbf{0} = \mathbf{0}$
 ⟨*proof*⟩

lemma (in *ring*) *l-minus*:
 [| *x* ∈ *carrier* *R*; *y* ∈ *carrier* *R* |] ==> $\ominus x \otimes y = \ominus (x \otimes y)$
 ⟨*proof*⟩

lemma (in *ring*) *r-minus*:
 [| *x* ∈ *carrier* *R*; *y* ∈ *carrier* *R* |] ==> $x \otimes \ominus y = \ominus (x \otimes y)$
 ⟨*proof*⟩

lemma (in *ring*) *minus-eq*:
 [| *x* ∈ *carrier* *R*; *y* ∈ *carrier* *R* |] ==> $x \ominus y = x \oplus \ominus y$
 ⟨*proof*⟩

lemmas (in *ring*) *ring-simprules* =
a-closed zero-closed a-inv-closed minus-closed m-closed one-closed
a-assoc l-zero l-neg a-comm m-assoc l-one l-distr minus-eq
r-zero r-neg r-neg2 r-neg1 minus-add minus-minus minus-zero
a-lcomm r-distr l-null r-null l-minus r-minus

lemmas (in *cring*) *cring-simprules* =
a-closed zero-closed a-inv-closed minus-closed m-closed one-closed
a-assoc l-zero l-neg a-comm m-assoc l-one l-distr m-comm minus-eq
r-zero r-neg r-neg2 r-neg1 minus-add minus-minus minus-zero
a-lcomm m-lcomm r-distr l-null r-null l-minus r-minus

$\langle ML \rangle$

lemma (in *cring*) *nat-pow-zero*:
 $(n::nat) \sim = 0 ==> 0 \wedge n = 0$
 $\langle proof \rangle$

Two examples for use of method algebra

lemma
includes *ring* $R +$ *cring* S
shows $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; c \in \text{carrier } S; d \in \text{carrier } S \rrbracket ==>$
 $a \oplus \ominus (a \oplus \ominus b) = b \ \& \ c \otimes_S d = d \otimes_S c$
 $\langle proof \rangle$

lemma
includes *cring*
shows $\llbracket a \in \text{carrier } R; b \in \text{carrier } R \rrbracket ==> a \ominus (a \ominus b) = b$
 $\langle proof \rangle$

10.4 Sums over Finite Sets

lemma (in *cring*) *finsum-ldistr*:
 $\llbracket \text{finite } A; a \in \text{carrier } R; f \in A \rightarrow \text{carrier } R \rrbracket ==>$
 $\text{finsum } R \ f \ A \otimes a = \text{finsum } R \ (\%i. f \ i \otimes a) \ A$
 $\langle proof \rangle$

lemma (in *cring*) *finsum-rdistr*:
 $\llbracket \text{finite } A; a \in \text{carrier } R; f \in A \rightarrow \text{carrier } R \rrbracket ==>$
 $a \otimes \text{finsum } R \ f \ A = \text{finsum } R \ (\%i. a \otimes f \ i) \ A$
 $\langle proof \rangle$

10.5 Facts of Integral Domains

lemma (in *domain*) *zero-not-one* [*simp*]:
 $0 \sim = 1$
 $\langle proof \rangle$

lemma (in *domain*) *integral-iff*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R \rrbracket ==> (a \otimes b = 0) = (a = 0 \mid b = 0)$
 $\langle proof \rangle$

lemma (in *domain*) *m-lcancel*:
assumes *prem*: $a \sim = 0$
and $R: a \in \text{carrier } R \ b \in \text{carrier } R \ c \in \text{carrier } R$
shows $(a \otimes b = a \otimes c) = (b = c)$
 $\langle proof \rangle$

lemma (in *domain*) *m-rcancel*:
assumes *prem*: $a \sim = 0$

and R : $a \in \text{carrier } R$ $b \in \text{carrier } R$ $c \in \text{carrier } R$
shows *conc*: $(b \otimes a = c \otimes a) = (b = c)$
 $\langle \text{proof} \rangle$

10.6 Morphisms

constdefs (**structure** R S)
 $\text{ring-hom} :: [('a, 'm) \text{ ring-scheme}, ('b, 'n) \text{ ring-scheme}] \Rightarrow ('a \Rightarrow 'b) \text{ set}$
 $\text{ring-hom } R \ S == \{h. h \in \text{carrier } R \rightarrow \text{carrier } S \ \&$
 $(\text{ALL } x \ y. x \in \text{carrier } R \ \& \ y \in \text{carrier } R \rightarrow$
 $h \ (x \otimes y) = h \ x \otimes_S h \ y \ \& \ h \ (x \oplus y) = h \ x \oplus_S h \ y) \ \&$
 $h \ 1 = 1_S\}$

lemma *ring-hom-memI*:
includes $\text{struct } R + \text{struct } S$
assumes *hom-closed*: $!!x. x \in \text{carrier } R \Rightarrow h \ x \in \text{carrier } S$
and *hom-mult*: $!!x \ y. [| x \in \text{carrier } R; y \in \text{carrier } R |] \Rightarrow$
 $h \ (x \otimes y) = h \ x \otimes_S h \ y$
and *hom-add*: $!!x \ y. [| x \in \text{carrier } R; y \in \text{carrier } R |] \Rightarrow$
 $h \ (x \oplus y) = h \ x \oplus_S h \ y$
and *hom-one*: $h \ 1 = 1_S$
shows $h \in \text{ring-hom } R \ S$
 $\langle \text{proof} \rangle$

lemma *ring-hom-closed*:
 $[| h \in \text{ring-hom } R \ S; x \in \text{carrier } R |] \Rightarrow h \ x \in \text{carrier } S$
 $\langle \text{proof} \rangle$

lemma *ring-hom-mult*:
includes $\text{struct } R + \text{struct } S$
shows
 $[| h \in \text{ring-hom } R \ S; x \in \text{carrier } R; y \in \text{carrier } R |] \Rightarrow$
 $h \ (x \otimes y) = h \ x \otimes_S h \ y$
 $\langle \text{proof} \rangle$

lemma *ring-hom-add*:
includes $\text{struct } R + \text{struct } S$
shows
 $[| h \in \text{ring-hom } R \ S; x \in \text{carrier } R; y \in \text{carrier } R |] \Rightarrow$
 $h \ (x \oplus y) = h \ x \oplus_S h \ y$
 $\langle \text{proof} \rangle$

lemma *ring-hom-one*:
includes $\text{struct } R + \text{struct } S$
shows $h \in \text{ring-hom } R \ S \Rightarrow h \ 1 = 1_S$
 $\langle \text{proof} \rangle$

locale *ring-hom-cring* = *cring* $R + \text{cring } S + \text{var } h +$
assumes *homh* [*simp*, *intro*]: $h \in \text{ring-hom } R \ S$

```

notes hom-closed [simp, intro] = ring-hom-closed [OF homh]
and hom-mult [simp] = ring-hom-mult [OF homh]
and hom-add [simp] = ring-hom-add [OF homh]
and hom-one [simp] = ring-hom-one [OF homh]

```

```

lemma (in ring-hom-cring) hom-zero [simp]:
   $h \mathbf{0} = \mathbf{0}_S$ 
 $\langle proof \rangle$ 

```

```

lemma (in ring-hom-cring) hom-a-inv [simp]:
   $x \in \text{carrier } R \implies h (\ominus x) = \ominus_S h x$ 
 $\langle proof \rangle$ 

```

```

lemma (in ring-hom-cring) hom-finsum [simp]:
   $[| \text{finite } A; f \in A \rightarrow \text{carrier } R |] \implies$ 
   $h (\text{finsum } R f A) = \text{finsum } S (h \circ f) A$ 
 $\langle proof \rangle$ 

```

```

lemma (in ring-hom-cring) hom-finprod:
   $[| \text{finite } A; f \in A \rightarrow \text{carrier } R |] \implies$ 
   $h (\text{finprod } R f A) = \text{finprod } S (h \circ f) A$ 
 $\langle proof \rangle$ 

```

```

declare ring-hom-cring.hom-finprod [simp]

```

```

lemma id-ring-hom [simp]:
   $id \in \text{ring-hom } R R$ 
 $\langle proof \rangle$ 

```

```

end

```

11 Module: Modules over an Abelian Group

```

theory Module imports CRing begin

```

```

record ('a, 'b) module = 'b ring +
  smult :: ['a, 'b] => 'b (infixl  $\odot_1$  70)

```

```

locale module = cring R + abelian-group M +
  assumes smult-closed [simp, intro]:
     $[| a \in \text{carrier } R; x \in \text{carrier } M |] \implies a \odot_M x \in \text{carrier } M$ 
  and smult-l-distr:
     $[| a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M |] \implies$ 
     $(a \oplus b) \odot_M x = a \odot_M x \oplus_M b \odot_M x$ 
  and smult-r-distr:
     $[| a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M |] \implies$ 
     $a \odot_M (x \oplus_M y) = a \odot_M x \oplus_M a \odot_M y$ 
  and smult-assoc1:

```

$\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$
 $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$
and *smult-one* [*simp*]:
 $x \in \text{carrier } M \implies \mathbf{1} \odot_M x = x$

locale *algebra* = *module* *R M* + *cring* *M* +
assumes *smult-assoc2*:
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$
 $(a \odot_M x) \otimes_M y = a \odot_M (x \otimes_M y)$

lemma *moduleI*:
includes *struct* *R* + *struct* *M*
assumes *cring*: *cring* *R*
and *abelian-group*: *abelian-group* *M*
and *smult-closed*:
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M x \in \text{carrier } M$
and *smult-l-distr*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$
 $(a \oplus b) \odot_M x = (a \odot_M x) \oplus_M (b \odot_M x)$
and *smult-r-distr*:
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$
 $a \odot_M (x \oplus_M y) = (a \odot_M x) \oplus_M (a \odot_M y)$
and *smult-assoc1*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$
 $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$
and *smult-one*:
 $\llbracket x \in \text{carrier } M \rrbracket \implies \mathbf{1} \odot_M x = x$
shows *module* *R M*
 $\langle \text{proof} \rangle$

lemma *algebraI*:
includes *struct* *R* + *struct* *M*
assumes *R-cring*: *cring* *R*
and *M-cring*: *cring* *M*
and *smult-closed*:
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M x \in \text{carrier } M$
and *smult-l-distr*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$
 $(a \oplus b) \odot_M x = (a \odot_M x) \oplus_M (b \odot_M x)$
and *smult-r-distr*:
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$
 $a \odot_M (x \oplus_M y) = (a \odot_M x) \oplus_M (a \odot_M y)$
and *smult-assoc1*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$
 $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$
and *smult-one*:
 $\llbracket x \in \text{carrier } M \rrbracket \implies (\text{one } R) \odot_M x = x$
and *smult-assoc2*:
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$

$(a \odot_M x) \otimes_M y = a \odot_M (x \otimes_M y)$
shows *algebra* $R\ M$
 <proof>

lemma (**in** *algebra*) *R-cring*:
cring R
 <proof>

lemma (**in** *algebra*) *M-cring*:
cring M
 <proof>

lemma (**in** *algebra*) *module*:
module $R\ M$
 <proof>

11.1 Basic Properties of Algebras

lemma (**in** *algebra*) *smult-l-null* [*simp*]:
 $x \in \text{carrier } M \implies \mathbf{0} \odot_M x = \mathbf{0}_M$
 <proof>

lemma (**in** *algebra*) *smult-r-null* [*simp*]:
 $a \in \text{carrier } R \implies a \odot_M \mathbf{0}_M = \mathbf{0}_M$
 <proof>

lemma (**in** *algebra*) *smult-l-minus*:
 $[a \in \text{carrier } R; x \in \text{carrier } M] \implies (\ominus a) \odot_M x = \ominus_M (a \odot_M x)$
 <proof>

lemma (**in** *algebra*) *smult-r-minus*:
 $[a \in \text{carrier } R; x \in \text{carrier } M] \implies a \odot_M (\ominus_M x) = \ominus_M (a \odot_M x)$
 <proof>

end

12 UnivPoly: Univariate Polynomials

theory *UnivPoly* **imports** *Module* **begin**

Polynomials are formalised as modules with additional operations for extracting coefficients from polynomials and for obtaining monomials from coefficients and exponents (record *up-ring*). The carrier set is a set of bounded functions from Nat to the coefficient domain. Bounded means that these functions return zero above a certain bound (the degree). There is a chapter on the formalisation of polynomials in the PhD thesis [1], which was implemented with axiomatic type classes. This was later ported to Locales.

12.1 The Constructor for Univariate Polynomials

Functions with finite support.

```

locale bound =
  fixes  $z :: 'a$ 
    and  $n :: \text{nat}$ 
    and  $f :: \text{nat} \Rightarrow 'a$ 
  assumes bound:  $!!m. n < m \implies f\ m = z$ 

declare bound.intro [intro!]
  and bound.bound [dest]

lemma bound-below:
  assumes bound: bound  $z\ m\ f$  and nonzero:  $f\ n \neq z$  shows  $n \leq m$ 
  <proof>

record ( $'a, 'p$ ) up-ring = ( $'a, 'p$ ) module +
  monom :: [ $'a, \text{nat}$ ]  $\Rightarrow 'p$ 
  coeff :: [ $'p, \text{nat}$ ]  $\Rightarrow 'a$ 

constdefs (structure  $R$ )
  up :: ( $'a, 'm$ ) ring-scheme  $\Rightarrow (\text{nat} \Rightarrow 'a)$  set
  up  $R == \{f. f \in \text{UNIV} \rightarrow \text{carrier } R \ \& \ (\exists n. \text{bound } \mathbf{0}\ n\ f)\}$ 
  UP :: ( $'a, 'm$ ) ring-scheme  $\Rightarrow ('a, \text{nat} \Rightarrow 'a)$  up-ring
  UP  $R == (|$ 
    carrier = up  $R$ ,
    mult = ( $\%p:\text{up } R. \%q:\text{up } R. \%n. \bigoplus i \in \{..n\}. p\ i \otimes q\ (n-i)$ ),
    one = ( $\%i. \text{if } i=0 \text{ then } \mathbf{1} \text{ else } \mathbf{0}$ ),
    zero = ( $\%i. \mathbf{0}$ ),
    add = ( $\%p:\text{up } R. \%q:\text{up } R. \%i. p\ i \oplus q\ i$ ),
    smult = ( $\%a:\text{carrier } R. \%p:\text{up } R. \%i. a \otimes p\ i$ ),
    monom = ( $\%a:\text{carrier } R. \%n\ i. \text{if } i=n \text{ then } a \text{ else } \mathbf{0}$ ),
    coeff = ( $\%p:\text{up } R. \%n. p\ n$ )  $|)$ 

Properties of the set of polynomials up.

lemma mem-upI [intro]:
  [|  $!!n. f\ n \in \text{carrier } R; \exists n. \text{bound } (\text{zero } R)\ n\ f$  |]  $\implies f \in \text{up } R$ 
  <proof>

lemma mem-upD [dest]:
   $f \in \text{up } R \implies f\ n \in \text{carrier } R$ 
  <proof>

lemma (in cring) bound-upD [dest]:
   $f \in \text{up } R \implies \exists n. \text{bound } \mathbf{0}\ n\ f$ 
  <proof>

lemma (in cring) up-one-closed:
  ( $\%n. \text{if } n = 0 \text{ then } \mathbf{1} \text{ else } \mathbf{0}$ )  $\in \text{up } R$ 

```

$\langle proof \rangle$

lemma (in *cring*) *up-smult-closed*:

$[| a \in \text{carrier } R; p \in \text{up } R |] \implies (\%i. a \otimes p \ i) \in \text{up } R$
 $\langle proof \rangle$

lemma (in *cring*) *up-add-closed*:

$[| p \in \text{up } R; q \in \text{up } R |] \implies (\%i. p \ i \oplus q \ i) \in \text{up } R$
 $\langle proof \rangle$

lemma (in *cring*) *up-a-inv-closed*:

$p \in \text{up } R \implies (\%i. \ominus (p \ i)) \in \text{up } R$
 $\langle proof \rangle$

lemma (in *cring*) *up-mult-closed*:

$[| p \in \text{up } R; q \in \text{up } R |] \implies$
 $(\%n. \bigoplus i \in \{..n\}. p \ i \otimes q \ (n-i)) \in \text{up } R$
 $\langle proof \rangle$

12.2 Effect of operations on coefficients

locale *UP* = *struct* *R* + *struct* *P* +

defines *P-def*: $P == \text{UP } R$

locale *UP-cring* = *UP* + *cring* *R*

locale *UP-domain* = *UP-cring* + *domain* *R*

Temporarily declare $P \equiv \text{UP } R$ as simp rule.

declare (in *UP*) *P-def* [simp]

lemma (in *UP-cring*) *coeff-monom* [simp]:

$a \in \text{carrier } R \implies$
 $\text{coeff } P \ (\text{monom } P \ a \ m) \ n = (\text{if } m=n \text{ then } a \text{ else } \mathbf{0})$
 $\langle proof \rangle$

lemma (in *UP-cring*) *coeff-zero* [simp]:

$\text{coeff } P \ \mathbf{0}_P \ n = \mathbf{0}$
 $\langle proof \rangle$

lemma (in *UP-cring*) *coeff-one* [simp]:

$\text{coeff } P \ \mathbf{1}_P \ n = (\text{if } n=0 \text{ then } \mathbf{1} \text{ else } \mathbf{0})$
 $\langle proof \rangle$

lemma (in *UP-cring*) *coeff-smult* [simp]:

$[| a \in \text{carrier } R; p \in \text{carrier } P |] \implies$
 $\text{coeff } P \ (a \odot_P p) \ n = a \otimes \text{coeff } P \ p \ n$
 $\langle proof \rangle$

lemma (in *UP-crng*) *coeff-add* [*simp*]:
 $[| p \in \text{carrier } P; q \in \text{carrier } P |] ==>$
 $\text{coeff } P (p \oplus_P q) n = \text{coeff } P p n \oplus \text{coeff } P q n$
 $\langle \text{proof} \rangle$

lemma (in *UP-crng*) *coeff-mult* [*simp*]:
 $[| p \in \text{carrier } P; q \in \text{carrier } P |] ==>$
 $\text{coeff } P (p \otimes_P q) n = (\bigoplus_{i \in \{..n\}} \text{coeff } P p i \otimes \text{coeff } P q (n-i))$
 $\langle \text{proof} \rangle$

lemma (in *UP*) *up-eqI*:
 assumes *prem*: $!!n. \text{coeff } P p n = \text{coeff } P q n$
 and *R*: $p \in \text{carrier } P \ q \in \text{carrier } P$
 shows $p = q$
 $\langle \text{proof} \rangle$

12.3 Polynomials form a commutative ring.

Operations are closed over *P*.

lemma (in *UP-crng*) *UP-mult-closed* [*simp*]:
 $[| p \in \text{carrier } P; q \in \text{carrier } P |] ==> p \otimes_P q \in \text{carrier } P$
 $\langle \text{proof} \rangle$

lemma (in *UP-crng*) *UP-one-closed* [*simp*]:
 $1_P \in \text{carrier } P$
 $\langle \text{proof} \rangle$

lemma (in *UP-crng*) *UP-zero-closed* [*intro*, *simp*]:
 $0_P \in \text{carrier } P$
 $\langle \text{proof} \rangle$

lemma (in *UP-crng*) *UP-a-closed* [*intro*, *simp*]:
 $[| p \in \text{carrier } P; q \in \text{carrier } P |] ==> p \oplus_P q \in \text{carrier } P$
 $\langle \text{proof} \rangle$

lemma (in *UP-crng*) *monom-closed* [*simp*]:
 $a \in \text{carrier } R ==> \text{monom } P a n \in \text{carrier } P$
 $\langle \text{proof} \rangle$

lemma (in *UP-crng*) *UP-smult-closed* [*simp*]:
 $[| a \in \text{carrier } R; p \in \text{carrier } P |] ==> a \odot_P p \in \text{carrier } P$
 $\langle \text{proof} \rangle$

lemma (in *UP*) *coeff-closed* [*simp*]:
 $p \in \text{carrier } P ==> \text{coeff } P p n \in \text{carrier } R$
 $\langle \text{proof} \rangle$

declare (in *UP*) *P-def* [*simp del*]

Algebraic ring properties

lemma (in *UP-cring*) *UP-a-assoc*:

assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$
shows $(p \oplus_P q) \oplus_P r = p \oplus_P (q \oplus_P r)$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-l-zero [simp]*:

assumes $R: p \in \text{carrier } P$
shows $0_P \oplus_P p = p$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-l-neg-ex*:

assumes $R: p \in \text{carrier } P$
shows $EX \ q : \text{carrier } P. \ q \oplus_P p = 0_P$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-a-comm*:

assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P$
shows $p \oplus_P q = q \oplus_P p$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-m-assoc*:

assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$
shows $(p \otimes_P q) \otimes_P r = p \otimes_P (q \otimes_P r)$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-l-one [simp]*:

assumes $R: p \in \text{carrier } P$
shows $1_P \otimes_P p = p$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-l-distr*:

assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$
shows $(p \oplus_P q) \otimes_P r = (p \otimes_P r) \oplus_P (q \otimes_P r)$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-m-comm*:

assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P$
shows $p \otimes_P q = q \otimes_P p$
 $\langle \text{proof} \rangle$

theorem (in *UP-cring*) *UP-cring*:

cring P
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-ring*:

ring P
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *UP-a-inv-closed* [intro, simp]:
 $p \in \text{carrier } P \implies \ominus_P p \in \text{carrier } P$
 ⟨proof⟩

lemma (in *UP-cring*) *coeff-a-inv* [simp]:
 assumes $R: p \in \text{carrier } P$
 shows $\text{coeff } P (\ominus_P p) n = \ominus (\text{coeff } P p n)$
 ⟨proof⟩

Interpretation of lemmas from *cring*. Saves lifting 43 lemmas manually.

interpretation *UP-cring* < *cring* P
 ⟨proof⟩

12.4 Polynomials form an Algebra

lemma (in *UP-cring*) *UP-smult-l-distr*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; p \in \text{carrier } P \rrbracket \implies$
 $(a \oplus b) \odot_P p = a \odot_P p \oplus_P b \odot_P p$
 ⟨proof⟩

lemma (in *UP-cring*) *UP-smult-r-distr*:
 $\llbracket a \in \text{carrier } R; p \in \text{carrier } P; q \in \text{carrier } P \rrbracket \implies$
 $a \odot_P (p \oplus_P q) = a \odot_P p \oplus_P a \odot_P q$
 ⟨proof⟩

lemma (in *UP-cring*) *UP-smult-assoc1*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; p \in \text{carrier } P \rrbracket \implies$
 $(a \otimes b) \odot_P p = a \odot_P (b \odot_P p)$
 ⟨proof⟩

lemma (in *UP-cring*) *UP-smult-one* [simp]:
 $p \in \text{carrier } P \implies \mathbf{1} \odot_P p = p$
 ⟨proof⟩

lemma (in *UP-cring*) *UP-smult-assoc2*:
 $\llbracket a \in \text{carrier } R; p \in \text{carrier } P; q \in \text{carrier } P \rrbracket \implies$
 $(a \odot_P p) \otimes_P q = a \odot_P (p \otimes_P q)$
 ⟨proof⟩

Interpretation of lemmas from *algebra*.

lemma (in *cring*) *cring*:
 $\text{cring } R$
 ⟨proof⟩

lemma (in *UP-cring*) *UP-algebra*:
 $\text{algebra } R P$
 ⟨proof⟩

interpretation *UP-cring* < algebra *R P*
 ⟨proof⟩

12.5 Further lemmas involving monomials

lemma (in *UP-cring*) *monom-zero* [simp]:
 $\text{monom } P \ \mathbf{0} \ n = \mathbf{0}_P$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-mult-is-smult*:
assumes *R*: $a \in \text{carrier } R$ $p \in \text{carrier } P$
shows $\text{monom } P \ a \ 0 \otimes_P p = a \odot_P p$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-add* [simp]:
 $[| \ a \in \text{carrier } R; \ b \in \text{carrier } R \ |] ==>$
 $\text{monom } P \ (a \oplus b) \ n = \text{monom } P \ a \ n \oplus_P \text{monom } P \ b \ n$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-one-Suc*:
 $\text{monom } P \ \mathbf{1} \ (\text{Suc } n) = \text{monom } P \ \mathbf{1} \ n \otimes_P \text{monom } P \ \mathbf{1} \ 1$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-mult-smult*:
 $[| \ a \in \text{carrier } R; \ b \in \text{carrier } R \ |] ==> \text{monom } P \ (a \otimes b) \ n = a \odot_P \text{monom } P \ b \ n$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-one* [simp]:
 $\text{monom } P \ \mathbf{1} \ 0 = \mathbf{1}_P$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-one-mult*:
 $\text{monom } P \ \mathbf{1} \ (n + m) = \text{monom } P \ \mathbf{1} \ n \otimes_P \text{monom } P \ \mathbf{1} \ m$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-mult* [simp]:
assumes *R*: $a \in \text{carrier } R$ $b \in \text{carrier } R$
shows $\text{monom } P \ (a \otimes b) \ (n + m) = \text{monom } P \ a \ n \otimes_P \text{monom } P \ b \ m$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-a-inv* [simp]:
 $a \in \text{carrier } R ==> \text{monom } P \ (\ominus a) \ n = \ominus_P \text{monom } P \ a \ n$
 ⟨proof⟩

lemma (in *UP-cring*) *monom-inj*:
 $\text{inj-on } (\%a. \text{monom } P \ a \ n) \ (\text{carrier } R)$
 ⟨proof⟩

12.6 The degree function

constdefs (structure R)

$\text{deg} :: [('a, 'm) \text{ ring-scheme}, \text{nat} \Rightarrow 'a] \Rightarrow \text{nat}$
 $\text{deg } R \ p == \text{LEAST } n. \text{ bound } \mathbf{0} \ n \ (\text{coeff } (UP \ R) \ p)$

lemma (in $UP\text{-cring}$) deg-aboveI :

$[| \text{!!}m. n < m \Rightarrow \text{coeff } P \ p \ m = \mathbf{0} |; p \in \text{carrier } P |] \Rightarrow \text{deg } R \ p \leq n$
 $\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) deg-aboveD :

$[| \text{deg } R \ p < m; p \in \text{carrier } P |] \Rightarrow \text{coeff } P \ p \ m = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) deg-belowI :

assumes $\text{non-zero}: n \sim \mathbf{0} \Rightarrow \text{coeff } P \ p \ n \sim \mathbf{0}$
and $R: p \in \text{carrier } P$
shows $n \leq \text{deg } R \ p$

— Logically, this is a slightly stronger version of deg-aboveD

$\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) $\text{lcoeff-nonzero-deg}$:

assumes $\text{deg}: \text{deg } R \ p \sim \mathbf{0}$ **and** $R: p \in \text{carrier } P$
shows $\text{coeff } P \ p \ (\text{deg } R \ p) \sim \mathbf{0}$

$\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) $\text{lcoeff-nonzero-nonzero}$:

assumes $\text{deg}: \text{deg } R \ p = \mathbf{0}$ **and** $\text{nonzero}: p \sim \mathbf{0}_P$ **and** $R: p \in \text{carrier } P$
shows $\text{coeff } P \ p \ \mathbf{0} \sim \mathbf{0}$

$\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) lcoeff-nonzero :

assumes $\text{neg}: p \sim \mathbf{0}_P$ **and** $R: p \in \text{carrier } P$
shows $\text{coeff } P \ p \ (\text{deg } R \ p) \sim \mathbf{0}$

$\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) deg-eqI :

$[| \text{!!}m. n < m \Rightarrow \text{coeff } P \ p \ m = \mathbf{0};$
 $\text{!!}n. n \sim \mathbf{0} \Rightarrow \text{coeff } P \ p \ n \sim \mathbf{0}; p \in \text{carrier } P |] \Rightarrow \text{deg } R \ p = n$

$\langle \text{proof} \rangle$

Degree and polynomial operations

lemma (in $UP\text{-cring}$) deg-add [simp]:

assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P$
shows $\text{deg } R \ (p \oplus_P q) \leq \max (\text{deg } R \ p) (\text{deg } R \ q)$

$\langle \text{proof} \rangle$

lemma (in $UP\text{-cring}$) deg-monom-le :

$a \in \text{carrier } R \implies \text{deg } R (\text{monom } P \ a \ n) \leq n$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *deg-monom* [simp]:
 $[| \ a \sim \mathbf{0}; a \in \text{carrier } R \ |] \implies \text{deg } R (\text{monom } P \ a \ n) = n$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *deg-const* [simp]:
assumes $R: a \in \text{carrier } R$ **shows** $\text{deg } R (\text{monom } P \ a \ 0) = 0$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *deg-zero* [simp]:
 $\text{deg } R \ \mathbf{0}_P = 0$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *deg-one* [simp]:
 $\text{deg } R \ \mathbf{1}_P = 0$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *deg-uminus* [simp]:
assumes $R: p \in \text{carrier } P$ **shows** $\text{deg } R (\ominus_P p) = \text{deg } R \ p$
 $\langle \text{proof} \rangle$

lemma (in *UP-domain*) *deg-smult-ring*:
 $[| \ a \in \text{carrier } R; p \in \text{carrier } P \ |] \implies$
 $\text{deg } R (a \odot_P p) \leq (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \text{deg } R \ p)$
 $\langle \text{proof} \rangle$

lemma (in *UP-domain*) *deg-smult* [simp]:
assumes $R: a \in \text{carrier } R \ p \in \text{carrier } P$
shows $\text{deg } R (a \odot_P p) = (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \text{deg } R \ p)$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *deg-mult-cring*:
assumes $R: p \in \text{carrier } P \ q \in \text{carrier } P$
shows $\text{deg } R (p \otimes_P q) \leq \text{deg } R \ p + \text{deg } R \ q$
 $\langle \text{proof} \rangle$

lemma (in *UP-domain*) *deg-mult* [simp]:
 $[| \ p \sim \mathbf{0}_P; q \sim \mathbf{0}_P; p \in \text{carrier } P; q \in \text{carrier } P \ |] \implies$
 $\text{deg } R (p \otimes_P q) = \text{deg } R \ p + \text{deg } R \ q$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *coeff-finsum*:
assumes $\text{fin}: \text{finite } A$
shows $p \in A \longrightarrow \text{carrier } P \implies$
 $\text{coeff } P (\text{finsum } P \ p \ A) \ k = (\bigoplus i \in A. \text{coeff } P \ (p \ i) \ k)$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *up-repr*:
 assumes $R: p \in \text{carrier } P$
 shows $(\bigoplus_P i \in \{..deg\ R\ p\}. \text{monom } P\ (\text{coeff } P\ p\ i)\ i) = p$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *up-repr-le*:
 $[\mid deg\ R\ p \leq n; p \in \text{carrier } P \mid] ==>$
 $(\bigoplus_P i \in \{..n\}. \text{monom } P\ (\text{coeff } P\ p\ i)\ i) = p$
 $\langle \text{proof} \rangle$

12.7 Polynomials over an integral domain form an integral domain

lemma *domainI*:
 assumes *cring*: *cring* R
 and *one-not-zero*: $\text{one } R \sim= \text{zero } R$
 and *integral*: $!!a\ b. [\mid \text{mult } R\ a\ b = \text{zero } R; a \in \text{carrier } R;$
 $b \in \text{carrier } R \mid] ==> a = \text{zero } R \mid b = \text{zero } R$
 shows *domain* R
 $\langle \text{proof} \rangle$

lemma (in *UP-domain*) *UP-one-not-zero*:
 $\mathbf{1}_P \sim= \mathbf{0}_P$
 $\langle \text{proof} \rangle$

lemma (in *UP-domain*) *UP-integral*:
 $[\mid p \otimes_P q = \mathbf{0}_P; p \in \text{carrier } P; q \in \text{carrier } P \mid] ==> p = \mathbf{0}_P \mid q = \mathbf{0}_P$
 $\langle \text{proof} \rangle$

theorem (in *UP-domain*) *UP-domain*:
domain P
 $\langle \text{proof} \rangle$

Interpretation of theorems from *domain*.

interpretation *UP-domain* < *domain* P
 $\langle \text{proof} \rangle$

12.8 Evaluation Homomorphism and Universal Property

theorem (in *cring*) *diagonal-sum*:
 $[\mid f \in \{..n + m::nat\} \rightarrow \text{carrier } R; g \in \{..n + m\} \rightarrow \text{carrier } R \mid] ==>$
 $(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..k\}. f\ i \otimes g\ (k - i)) =$
 $(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..n + m - k\}. f\ k \otimes g\ i)$
 $\langle \text{proof} \rangle$

lemma (in *abelian-monoid*) *boundD-carrier*:
 $[\mid \text{bound } \mathbf{0}\ n\ f; n < m \mid] ==> f\ m \in \text{carrier } G$
 $\langle \text{proof} \rangle$

theorem (in *cring*) *cauchy-product*:

assumes *bf*: bound 0 *n f* **and** *bg*: bound 0 *m g*
and *Rf*: $f \in \{..n\} \rightarrow \text{carrier } R$ **and** *Rg*: $g \in \{..m\} \rightarrow \text{carrier } R$
shows $(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..k\}. f\ i \otimes g\ (k - i)) =$
 $(\bigoplus i \in \{..n\}. f\ i) \otimes (\bigoplus i \in \{..m\}. g\ i)$
 <proof>

lemma (in *UP-cring*) *const-ring-hom*:

(%a. monom *P a 0*) $\in \text{ring-hom } R\ P$
 <proof>

constdefs (structure *S*)

eval :: [*'a*, *'m*] *ring-scheme*, [*'b*, *'n*] *ring-scheme*,
'a ==> *'b*, *'b*, *nat* ==> *'a*] ==> *'b*
eval R S phi s == $\lambda p \in \text{carrier } (UP\ R).$
 $\bigoplus i \in \{..deg\ R\ p\}. phi\ (coeff\ (UP\ R)\ p\ i) \otimes s\ (^)\ i$

lemma (in *UP*) *eval-on-carrier*:

includes *struct S*
shows $p \in \text{carrier } P \implies$
 $eval\ R\ S\ phi\ s\ p = (\bigoplus_S i \in \{..deg\ R\ p\}. phi\ (coeff\ P\ p\ i) \otimes_S s\ (^)_S i)$
 <proof>

lemma (in *UP*) *eval-extensional*:

eval R S phi p $\in \text{extensional } (\text{carrier } P)$
 <proof>

The universal property of the polynomial ring

locale *UP-pre-univ-prop* = *ring-hom-cring R S h* + *UP-cring R P*

locale *UP-univ-prop* = *UP-pre-univ-prop* + *var s* + *var Eval* +

assumes *indet-img-carrier* [*simp*, *intro*]: $s \in \text{carrier } S$

defines *Eval-def*: *Eval* == *eval R S h s*

theorem (in *UP-pre-univ-prop*) *eval-ring-hom*:

assumes *S*: $s \in \text{carrier } S$
shows *eval R S h s* $\in \text{ring-hom } P\ S$
 <proof>

Interpretation of ring homomorphism lemmas.

interpretation *UP-univ-prop* < *ring-hom-cring P S Eval*
 <proof>

Further properties of the evaluation homomorphism.

lemma (in *UP-pre-univ-prop*) *eval-const*:

[$s \in \text{carrier } S$; $r \in \text{carrier } R$] ==> *eval R S h s* (*monom P r 0*) = *h r*
 <proof>

The following proof is complicated by the fact that in arbitrary rings one might have $\mathbf{1}_R = \mathbf{0}_R$.

lemma (in *UP-pre-univ-prop*) *eval-monom1*:
assumes $S: s \in \text{carrier } S$
shows $\text{eval } R \ S \ h \ s \ (\text{monom } P \ \mathbf{1} \ 1) = s$
 $\langle \text{proof} \rangle$

lemma (in *UP-cring*) *monom-pow*:
assumes $R: a \in \text{carrier } R$
shows $(\text{monom } P \ a \ n) \ (\wedge)_P \ m = \text{monom } P \ (a \ (\wedge) \ m) \ (n * m)$
 $\langle \text{proof} \rangle$

lemma (in *ring-hom-cring*) *hom-pow [simp]*:
 $x \in \text{carrier } R \implies h \ (x \ (\wedge) \ n) = h \ x \ (\wedge)_S \ (n::\text{nat})$
 $\langle \text{proof} \rangle$

lemma (in *UP-univ-prop*) *Eval-monom*:
 $r \in \text{carrier } R \implies \text{Eval} \ (\text{monom } P \ r \ n) = h \ r \otimes_S s \ (\wedge)_S \ n$
 $\langle \text{proof} \rangle$

lemma (in *UP-pre-univ-prop*) *eval-monom*:
assumes $R: r \in \text{carrier } R$ **and** $S: s \in \text{carrier } S$
shows $\text{eval } R \ S \ h \ s \ (\text{monom } P \ r \ n) = h \ r \otimes_S s \ (\wedge)_S \ n$
 $\langle \text{proof} \rangle$

lemma (in *UP-univ-prop*) *Eval-smult*:
 $[| \ r \in \text{carrier } R; \ p \in \text{carrier } P \ |] \implies \text{Eval} \ (r \odot_P p) = h \ r \otimes_S \text{Eval} \ p$
 $\langle \text{proof} \rangle$

lemma *ring-hom-cringI*:
assumes *cring* R
and *cring* S
and $h \in \text{ring-hom } R \ S$
shows *ring-hom-cring* $R \ S \ h$
 $\langle \text{proof} \rangle$

lemma (in *UP-pre-univ-prop*) *UP-hom-unique*:
includes *ring-hom-cring* $P \ S \ \text{Phi}$
assumes $\text{Phi}: \text{Phi} \ (\text{monom } P \ \mathbf{1} \ (\text{Suc } 0)) = s$
 $!!r. r \in \text{carrier } R \implies \text{Phi} \ (\text{monom } P \ r \ 0) = h \ r$
includes *ring-hom-cring* $P \ S \ \text{Psi}$
assumes $\text{Psi}: \text{Psi} \ (\text{monom } P \ \mathbf{1} \ (\text{Suc } 0)) = s$
 $!!r. r \in \text{carrier } R \implies \text{Psi} \ (\text{monom } P \ r \ 0) = h \ r$
and $P: p \in \text{carrier } P$ **and** $S: s \in \text{carrier } S$
shows $\text{Phi} \ p = \text{Psi} \ p$
 $\langle \text{proof} \rangle$

lemma (in *UP-pre-univ-prop*) *ring-homD*:
assumes $\text{Phi}: \text{Phi} \in \text{ring-hom } P \ S$

shows *ring-hom-cring* $P\ S\ \text{Phi}$
 $\langle \text{proof} \rangle$

theorem (*in* *UP-pre-univ-prop*) *UP-universal-property*:
assumes $S: s \in \text{carrier } S$
shows $\text{EX! } \text{Phi}. \text{Phi} \in \text{ring-hom } P\ S \cap \text{extensional } (\text{carrier } P) \ \&$
 $\text{Phi } (\text{monom } P\ \mathbf{1}\ \mathbf{1}) = s \ \&$
 $(\text{ALL } r : \text{carrier } R. \text{Phi } (\text{monom } P\ r\ 0) = h\ r)$
 $\langle \text{proof} \rangle$

12.9 Sample application of evaluation homomorphism

lemma *UP-pre-univ-propI*:
assumes *cring* R
and *cring* S
and $h \in \text{ring-hom } R\ S$
shows *UP-pre-univ-prop* $R\ S\ h$
 $\langle \text{proof} \rangle$

constdefs
 $\text{INTEG} :: \text{int ring}$
 $\text{INTEG} == (| \text{carrier} = \text{UNIV}, \text{mult} = \text{op } *, \text{one} = 1, \text{zero} = 0, \text{add} = \text{op } +$
 $|)$

lemma *INTEG-cring*:
cring INTEG
 $\langle \text{proof} \rangle$

lemma *INTEG-id-eval*:
UP-pre-univ-prop $\text{INTEG } \text{INTEG } \text{id}$
 $\langle \text{proof} \rangle$

Interpretation now enables to import all theorems and lemmas valid in the context of homomorphisms between *INTEG* and *UP INTEG* globally.

interpretation *INTEG*: *UP-pre-univ-prop* [*INTEG INTEG id*]
 $\langle \text{proof} \rangle$

lemma *INTEG-closed* [*intro, simp*]:
 $z \in \text{carrier } \text{INTEG}$
 $\langle \text{proof} \rangle$

lemma *INTEG-mult* [*simp*]:
 $\text{mult } \text{INTEG } z\ w = z * w$
 $\langle \text{proof} \rangle$

lemma *INTEG-pow* [*simp*]:
 $\text{pow } \text{INTEG } z\ n = z ^ n$
 $\langle \text{proof} \rangle$

```
lemma eval INTEG INTEG id 10 (monom (UP INTEG) 5 2) = 500
  <proof>

end
```

References

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